



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: HK 785

Date & Time Received: 03/26/2024 at 17:26

Date & Time of Response: April 19, 2024 at 4 pm

Entity Requesting FRF: Navajo Division of Transportation

Title of Project: N-15: Safety, Drainage & Connectivity Road Improvement Project (Revenue Replacement)

Administrative Oversight: Navajo Division of Transportation

Amount of Funding Requested: \$12,000,000.00

Eligibility Determination:

- FRF eligible
FRF ineligible
Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
(2) Premium Pay
(3) Government Services/Lost Revenue
(4) Water, Sewer, Broadband Infrastructure

U.S. Department of Treasury Reporting Expenditure Category:
6.1, Provision of Government Services



Returned for the following reasons (Ineligibility Reasons/Paragraphs 5.E.(1)-(10) of FRF Procedures):

- | | |
|--|--|
| <input type="checkbox"/> Missing Form | <input type="checkbox"/> Expenditure Plan incomplete |
| <input type="checkbox"/> Supporting documentation missing | <input type="checkbox"/> Funds will not be obligated by 12/31/2024 |
| <input type="checkbox"/> Project will not be completed by 12/31/2026 | <input type="checkbox"/> Incorrect Signatory |
| <input type="checkbox"/> Ineligible purpose | <input type="checkbox"/> Inconsistent with applicable NN or federal laws |
| <input type="checkbox"/> Submitter failed to timely submit CARES reports | |
| <input type="checkbox"/> Additional information submitted is insufficient to make a proper determination | |

Other Comments: _____

Name of DOJ Reviewer: Lorenzo Curley

Signature of DOJ Reviewer: *Lorenzo Curley*

Disclaimers:

If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. **Please email your resubmission to arpa@nndo.org.** Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDOJ Initial Eligibility Determination is based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.



THE NAVAJO NATION
FISCAL RECOVERY FUNDS **REQUEST FORM & EXPENDITURE PLAN**
FOR NAVAJO NATION GOVERNMENT UNITS

Part 1. Identification of parties.

NN Government Unit requesting FRF: Navajo Division of Transportation (NDOT) indicate Department, Program, Office, Division, or Branch Date prepared: 3/14/2024

NN Government Unit's mailing address: Leanne Roy, Department Manager phone/email: (505) 371-8380
P.O. Box 4620, Window Rock, Arizona 86515 website (if any): www.navajodot.org

Form prepared by: Leanne Roy, Department Manager phone/email: (505) 371-8380
Planning - Navajo Division of Transportation Iroy@navajodot.org
CONTACT PERSON'S name, title and Department, Program, Office, etc. CONTACT PERSON'S info

Title & type of Project: N-15: Safety, Drainage & Connectivity Road Improvement Project (Revenue Replacement)

NN Government Unit's Director or Manager: Leanne Roy phone & email: (505) 371-8380, Iroy@navajodot.org

Division Director (if any): Tom Platero phone & email: (505) 371-8354, tplatero@navajodot.org

Branch Chief: Buu Nygren, President phone & email: presidentnygren@navajo-nsn.gov

Funding Recipient will be working with: Tom Platero, Division Director on the Project and indicate Branch and/or Division
the same will be submitting the Funding Request Package for Initial Eligibility Determination Review, and will have Administrative Oversight over this FRF Expenditure Plan.

List names or types of Subcontractors or Subrecipients that will be paid with FRF (if known): _____ document attached

Amount of FRF requested: \$12,000,000.00 FRF funding period: March 1, 2024 - December 30, 2026
indicate Project starting and ending/deadline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

This NDOT N-15: Safety, Drainage & Connectivity Road Improvement project will deliver safety, drainage & road improvements. This project will address safety issues that occur in wet or icy road conditions from flooding. This project will provide access to employment, school, expand community services & provide logistical support in emergencies. This project will improve quality of life & transportation equity for local residents. This project directly address deficiencies connected to the high outbreak of COVID-19 on the Navajo Nation. Access to utilities & government services facilitates sustainable economic & social growth & can drastically increase the quality of healthcare provided.

document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo Communities, or the Navajo People:

Most rural communities on the Navajo Nation lack access to governmental services & COVID-19 impeded these operations. This road improvement project will contribute & strengthens the local direct mitigation, preparedness, response & recovery of local governmental services & local emergency situations to address the needs of communities. This project will assist in promoting public health & economic development for direct benefits to the Navajo people. This project will contribute & strengthens the general welfare of the community.

document attached

Received
APR 22 2024
NN FRT Office

APPENDIX A

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the Program(s) or Project(s) by December 31, 2026:

Based on the funding, the project end date will be December 31, 2026 with all funds being incumbered before December 31, 2024. Navajo Nation Budget Form 2 are attached for phase goals per quarter of funding period.

document attached

(d) Identify who will be responsible for implementing the Program or Project:

The Navajo Division of Transportation (NDOT) Division Director will be implementing the NN ARPA Funding Act & the N-15: Safety, Drainage & Connectivity Road Improvement project.

document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

The Navajo Division of Transportation will be responsible for the completion of this project, no additional cost or recurring cost will be incurred by the NDOT when project is completed.

document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

Categories: Revenue Replacement: 6.1 - Provision of Government Services

Pursuant to the U.S. Treasury guidelines and objectives, this project will address the conditions that contributed to poor public health & economic outcomes during the pandemic. By concentrating in areas with limited economic opportunities & inadequate or poor housing quality, the access to resources facilitates improved health outcomes including services connecting residents with healthcare resources, public assistance & build healthier environments to support healthy living environments & communities conducive to mental & physical wellness. The exacerbation of health disparities during the pandemic & pre-existing social vulnerabilities are responsive to the public health impact of the pandemic. This project will support access to services for healthy living.

document attached

Part 3. Additional documents.


List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

Received
Apr 2 2024
NN FFF Office

Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:


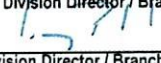
NN Government Unit
Preparer:  signature of Preparer/CONTACT PERSON
Approved by:  signature of NN Government Unit's Dept./Program/Office Director or Manager

Approved to submit
for Review:  signature of Branch Chief or Division Director
Print Name, Title,
Branch or Division: Tom Platero, Division Director print name and indicate title or position
Navajo Division of Transportation indicate Branch or Division

FY _____

**THE NAVAJO NATION
PROGRAM BUDGET SUMMARY**


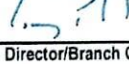
Page ___ of ___
BUDGET FORM 1

PART I Business Unit No.: <u>NEW</u>		Program Title: <u>NDOT - Road N-15 (6.1 Revenue Replacement - Provision of</u>		Division/Branch: <u>Executive</u>				
Prepared By: <u>Leanne Roy, Department Manager</u>		Phone No.: <u>(505) 371-8380</u>		Email Address: <u>lroy@navajodot.org</u>				
PART II. FUNDING SOURCE(S)	Fiscal Year /Term	Amount	% of Total	PART III. BUDGET SUMMARY	Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference or Total
NN Fiscal Recovery Funds	2/1/24 - 12/30/26	12,000,000.00	100%	2001 Personnel Expenses				0.00
				3000 Travel Expenses				0.00
				3500 Meeting Expenses				0.00
				4000 Supplies				0.00
				5000 Lease and Rental				0.00
				5500 Communications and Utilities				0.00
				6000 Repairs and Maintenance				0.00
				6500 Contractual Services	6	0	500,000.00	500,000.00
				7000 Special Transactions				0.00
				8000 Public Assistance				0.00
				9000 Capital Outlay	6	0	11,500,000.00	11,500,000.00
				9500 Matching Funds				0.00
				9500 Indirect Cost				0.00
				TOTAL		\$0.00	12,000,000.00	12,000,000.00
				PART IV. POSITIONS AND VEHICLES		(D)	(E)	
				Total # of Positions Budgeted:		0	0	
				Total # of Vehicles Budgeted:		0	0	
TOTAL: \$12,000,000.00 100%								
PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.								
SUBMITTED BY: <u>Leanne Roy, Department Manager</u>			APPROVED BY: <u>Tom Platero, Division Director - NDOT</u>					
Program Manager's Printed Name			Division Director / Branch Chief's Printed Name					
 <u>3/26/24</u>			 <u>3/26/2024</u>					
Program Manager's Signature and Date			Division Director / Branch Chief's Signature and Date					

FY _____

**THE NAVAJO NATION
PROGRAM PERFORMANCE MEASURES**

Page ___ of ___
BUDGET FORM 2

PART I. PROGRAM INFORMATION:				
Business Unit No.:	NEW	Program Name/Title:	NDOT - Road N-15 (6.1 Revenue Replacement - Provision of Govt Services)	
PART II. PROGRAM PERFORMANCE CRITERIA:				
	1st QTR	2nd QTR	3rd QTR	4th QTR
	Goal	Goal	Goal	Goal
1. Program Performance Measure:				
Provide funds for road improvements for area residents to improve quality of life & connectivity.				
Assist chapter & surrounding communities with delivery of safe roads & emergency services.				
	1	1	1	1
2. Program Performance Measure:				
Complete Archeological & Biological clearances, drainage study				
			1	
3. Program Performance Measure:				
Bid Project				
				1
4. Program Performance Measure:				
Construction				
	1	1	1	1
5. Program Performance Measure:				
Project Close-Out				
				1
PART III. CONCURRENCE/APPROVAL:				
Leanne Roy, Department Manager _____ Program Manager's Printed Name		Tom Platero, Division Director - NDOT _____ Division Director/Branch Chief's Printed Name		
 3/26/24 _____ Program Manager's Signature and Date		 3/26/2024 _____ Division Director/Branch Chief's Signature and Date		

FY _____

THE NAVAJO NATION
PROGRAM PERFORMANCE MEASURES

Page ___ of ___
BUDGET FORM 2A

PART I. PROGRAM INFORMATION:

Business Unit No.: NEW

Program Name/Title: NDOT - Road N-15 (6.1 Revenue Replacement - Provision of Govt Services)

PART II. PROGRAM PERFORMANCE CRITERIA:

As enacted by CAP-13--13, the Navajo Nation Division of Transportation shall exclusively administer the Navajo Nation Transportation programs within the Navajo Nation, to provide an effective and efficient transportation system, to ensure the operation and improvement of the transportation system and to provide the necessary resources to accomplish the objective herein.

PART III. CONCURRENCE/APPROVAL:

Leanne Roy, Department Manager

Program Manager's Printed Name

[Signature] 3/26/24
Program Manager's Signature and Date

Tom Platero, Division Director - NDOT

Division Director/Branch Chief's Printed Name

[Signature] 3/26/2024
Division Director/Branch Chief's Signature and Date

FY _____

**THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION**

Page ___ of ___
BUDGET FORM 4

PART I. PROGRAM INFORMATION:			
Program Name/Title: <u>NDOT - Road N-15 (6.1 Revenue Replacement - Provision of Govt Services)</u>		Business Unit No.: <u>NEW</u>	
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
6500 6810	Contractural Services Architecture/Design (Non-Cap) 6814 - Arch/Design (NC) - Expenses Right-of-Way & Roadway Design	300,000.00	300,000.00
6500 6830	Contractural Services Other Technical Services 6845 - Environmental Assessment/Survey Archeological & Biological clearances & Drainage Study	200,000.00	200,000.00
9000 9020	Capital Outlay Infrastructure 9024 - Roads Construction - Scope of Work: Grade, design, gravel, stabilization with possible paving or double chip seal.	11,500,000.00	11,500,000.00
<p>Revenue Replacement: Provision of Government Services - ARPA NDOT N-15: Safety, Drainage & Connectivity road Improvement project. This project addresses safety issues occurring in wet or icy road conditions from flooding. This project will provide access to employment, schools, expand community services & provide logistical support in emergencies. This project will improve quality of life & transportation equality for local residents. This project will directly address deficiencies connected to the high outbreak of COVID-19 on the Navajo Nation. Access to utilities & government services facilitates sustainable economic & social growth & can drastically increase the quality of healthcare recieved. This project will contribute & strengthens the general welfare of the community & public.</p>			
TOTAL		12,000,000.00	12,000,000.00

**THE NAVAJO NATION
PROJECT BUDGET SCHEDULE**

PART I. Business Unit No.: <u>NEW</u>															PART II. Project Information																		
Project Title: <u>NDOT - N-15 Road Improvement Project (6.1 Revenue Replacement: Provisions of Govt. Services)</u>															Project Type: <u>Road Improvement Project</u>																		
Project Description: <u>N-15: Safety, Drainage & Connectivity road improvement project will deliver safety, drainage & road improvements.</u>															Planned Start Date: <u>3/1/2024</u>																		
This project will address safety issues that occur in wet or icy road conditions from flooding. This project will improve quality of life.															Planned End Date: <u>12/31/2026</u>																		
Check one box: <input checked="" type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification															Project Manager: <u>Leanne Roy</u>																		
PART III. List Project Task separately, such as Plan, Design, Construct, Equip or Furnish.	PART IV. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc.																		Expected Completion Date if project exceeds 8 FY Qtrs.														
	FY 2024									FY 2025									Date 12/31/2026														
	1st Qtr.			2nd Qtr.			3rd Qtr.			4th Qtr.			1st Qtr.			2nd Qtr.			3rd Qtr.			4th Qtr.											
	O	N	D	J	F	M	A	M	J	Jul	A	S	O	N	D	J	F	M	A	M	J	Jul	A	S	O	N	D	J	F	M			
Finance (Securing Funds)				XX	XX																												
Planning & Selection							XX	XX	XX																								
Construction										XX	XX	XX	XX	XX	XX	XX	XX	XX	XX	XX	XX	XX	XX	XX	XX			XX		XX			
PART V.	\$			\$			\$			\$			\$			\$			\$			\$			PROJECT TOTAL								
Expected Quarterly Expenditures							500,000.00			500,000.00			2,000,000.00			2,000,000.00			2,000,000.00			2,000,000.00			\$9,000,000.00								

FOR OMB USE ONLY: Resolution No: _____ FMIS Set Up Date: _____ Company No: _____ OMB Analyst: _____

**THE NAVAJO NATION
PROJECT BUDGET SCHEDULE**

PART I. Business Unit No.: <u>NEW</u>													PART II. Project Information																	
Project Title: <u>NDOT - N-15 Road Improvement Project (6.1 Revenue Replacement: Provisions of Govt. Services)</u>													Project Type: <u>Road Improvement Project</u>																	
Project Description: <u>N-15: Safety, Drainage & Connectivity road improvement project will deliver safety, drainage & road improvements.</u>													Planned Start Date: <u>3/1/2024</u>																	
This project will address safety issues that occur in wet or icy road conditions from flooding. This project will improve quality of life.													Planned End Date: <u>12/31/2026</u>																	
Check one box: <input checked="" type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification													Project Manager: <u>Leanne Roy</u>																	
PART III. List Project Task separately, such as Plan, Design, Construct, Equip or Furnish.	PART IV. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc.																								Expected Completion Date if project exceeds 8 FY Qtrs.					
	FY 2026												FY 2027																	
	1st Qtr.			2nd Qtr.			3rd Qtr.			4th Qtr.			1st Qtr.			2nd Qtr.			3rd Qtr.			4th Qtr.			Date 12/31/2026					
	O	N	D	J	F	M	A	M	J	Jul	A	S	O	N	D	J	F	M	A	M	J	Jul	A	S	O	N	D	J	F	M
Finance (Securing Funds)																														
Planning & Selection																														
Construction	XX	XX	XX	XX	XX	XX	XX	XX	XX	XX	XX	XX																		
	\$			\$			\$			\$			\$			\$			\$			\$			PROJECT TOTAL					
Expected Quarterly Expenditures	1,000,000.00			1,000,000.00			1,000,000.00																		\$3,000,000.00					

FOR OMB USE ONLY: Resolution No: _____ FMIS Set Up Date: _____ Company No: _____ OMB Analyst: _____

