



**DR. BUU NYGREN** *PRESIDENT*  
**RICHELLE MONTOYA** *VICE PRESIDENT*

The Navajo Nation | Yideeskáadi Nitsáhákees

May 25, 2023

**TRANSMITTAL**

TO : Calvin Castillo, Executive Director  
 Division of Community Development (DCD)

FROM :   
 Cordell Shortey, Contracting Officer  
 Contracts & Grants Section (CGS) / OMB

SUBJECT : BU on Projects / Activities for Pinon Chapter, Forest Lake Chapter, Whippoorwill Chapter- ARPA  
Funds Allocated to Delegate Simonson Region

**I. Information on Contract (per Original Award):**

Hardrock Chapter, Forest Lake Chapter, Pinon Chapter, Black Mesa Chapter, and Whippoorwill Chapter	U.S. Treasury American Recovery Plan Act (ARPA)		21.027
Title of Contract	Funding Agency		CFDA No. - Federal
CAP-15-23	\$ 8,802,340.00	2022	03/11/2021 to 12/31/2026
Grant No.	Amount	Fiscal Year	Term - Begin and End Date

**II. Data Entered in FMIS Regarding:**

New Contract or Grant Company No. 8059 Business Unit (K#) K2115197 to K2115206

Contract Mod No. \_\_\_\_\_

Amt of Budget Increase \$4,140,047.47 \$0.00 to \$4,140,047.47

AMOUNT FROM TO

Budget Period - Extend End Date: From \_\_\_\_\_ To \_\_\_\_\_

Other, specify: \_\_\_\_\_

**Authorizing Document - Attached:**

Contract / Agreement - Date executed \_\_\_\_\_

NNC / Committee Resolution - No. & Date \_\_\_\_\_

Other, specify: NN Council Resolution CAP-15-23

**III. Comments by CGS:**

This transmittal documents 1st budget entered in FMIS on \$8.8 mil ARPA funds allocated to CD Germaine Simonson Region. Budget first received on May 12, 2023 electronically and received again on May 17, 2023 as hardcopy is authorized for implementation pursuant to FY 2023 NN BIM Appendix L Section II. B. and concurred by Navajo Nation Fiscal Recovery Fund (NN FRF) Office by memorandum of May 12, 2023. Y-T-D budget in FMIS for CD Simonson Region totals \$4,140,047.47. Information on Section I. of Transmittal above reflects \$8,802,340 ARPA funding allocated for distribution to Chapters the respective Council Delegate service aka Region.



Attachment  
 Copy: Contract files  
 Contract Accounting / OOC / DPM  
 Tom Platero, Executive Director - NN FRF Office

Revised February 2023



**DR. BUU NYGREN** *PRESIDENT*  
**RICHELLE MONTOYA** *VICE PRESIDENT*

The Navajo Nation | Yideeskáądi Nitsáhákees

**MEMORANDUM**

**TO :** Dominic Beyal, Director  
 Navajo Nation Office of Management & Budget  
 Cordell Shortey, Contracting Officer  
 Navajo Nation Office of Management & Budget

**FROM :** *Lisa Jymm*  
 Lisa Jymm, Deputy Executive Director  
 Navajo Nation Fiscal Recovery Fund Office

**DATE :** May 12, 2023

**SUBJECT :** CAP-15-23 – Allocation of \$8,802,340 in Navajo Nation Fiscal Recovery Funds per Delegate Germaine Simonson’s Delegate Region. NNFRFO is requesting new business units in the amount of \$4,140,047.47.



The Navajo Nation Fiscal Recovery Fund Office (NNFRFO) is requesting new business units equaling \$4,140,047.47 per CAP-15-23. The current NNFRFO request is listed in the table below:

No.	Description	Category	\$
1	Pinon Chp Housing for Community	2.15	\$1,000,000.00
2	Pinon Chp Septic & Leach Field Repair or Replacement Proj	5.3	\$260,468.00
3	Forest Lake Chp Bathroom Additions Proj	1.14	\$679,120.00
4	Pinon Chp Housing Renovation and Repair for Pinon Community	2.18	\$500,000.00
5	Whippoorwill Chp Home Renovation Proj	2.18	\$160,439.00
6	Whippoorwill Chp Septic Services Proj	5.5	\$78,750.00
7	Whippoorwill Chp Elderly Bathroom Modification Proj	1.14	\$60,000.00
8	Whippoorwill Chp New Home Waterline Proj	5.11	\$48,000.00
9	Whippoorwill Chp New House Construction	2.15	\$722,753.47
10	Whippoorwill Chp Hire of Personnel	2.37	\$630,517.00
		<b>TOTAL:</b>	<b>\$4,140,047.47</b>

*K2115147 ✓*  
*K2115148 ✓*  
*K2115149 ✓*  
*K2115200 ✓*  
*K2115201 ✓*  
*K2115202 ✓*  
*K2115203 ✓*  
*K2115204 ✓*  
*K2115205 ✓*  
*K2115206 ✓*

If you have any questions, please feel free to contact me by phone at (928) 309-5535 or by email at [ljymm@navajo-nsn.gov](mailto:ljymm@navajo-nsn.gov). Thank you.

CC: Germaine Jones, Deputy Contracting Officer, OMB  
 Christine Chavez, Director, Contract Acctg/OOC



**The Navajo Nation** **DR. BUU NYGREN** *PRESIDENT*  
**Yideeskáądi Nitsáhákees** **RICHELLE MONTOYA** *VICE PRESIDENT*

May 4, 2023

Honorable Crystalyne Curley, Speaker  
Navajo Nation Council  
Post Office Box 3390  
Window Rock, AZ 86515

*RE: CAP-15-23 An Action Relating to the Naabik'iyáti' Committee and Navajo Nation Council; Approving the Navajo Nation Fiscal Recovery Fund Delegate Regional Project Plan for Honorable Germaine Simonson's Delegate Region (Chapters: Hard Rock, Forest Lake, Pinon, Black Mesa, Whippoorwill)*

Dear Speaker Curley,

I am pleased to sign into law this resolution CAP-15-23 approving the Fiscal Recovery Funds Delegate Regional Project Plan for Honorable Simonson's Delegate Region in the amount of \$4,140,047.47.

My Administration supports the plans of these Chapters who have worked very hard to put together their Regional Plans and is working very closely with the Fiscal Recovery Fund Office to ensure that these funds are put to use. As such, when Chapters are running into roadblocks in expending their Fiscal Recovery Funds and the roadblock is coming from the Executive Branch, I encourage them to reach out to the Office of the President and Vice President and inform my office of the roadblock and we will work to overcome those roadblocks.

I do want to take the moment to express my concern that any amendments to the Regional Plans must be approved by the Council. In addition, the prior Navajo Nation Council has set the expectation that Fiscal Recovery Funds be encumbered by June 30, 2024. This deadline is not far off. As a construction expert, I know that there are unpredictable factors that can prevent even the best of plans from moving forward on the proposed timeline. We should be designing systems that are flexible, accountable, and transparent. We do not currently have that system and I look forward to working with you and the entire Council to improve the Navajo Nation systems, so we are supporting our communities to take advantage of this historic funding.

Now let's get to work!

Sincerely,

A handwritten signature in blue ink, appearing to read "B. Nygren", written over a blue horizontal line.

Dr. Buu Nygren, *President*  
**THE NAVAJO NATION**

RESOLUTION OF THE  
 NAVAJO NATION COUNCIL  
 25<sup>th</sup> NAVAJO NATION COUNCIL - FIRST YEAR, 2023

AN ACTION

RELATING TO THE NAABIK'ÍYÁTI' COMMITTEE AND NAVAJO NATION COUNCIL;  
 APPROVING THE NAVAJO NATION FISCAL RECOVERY FUND DELEGATE REGION  
 PROJECT PLAN FOR HONORABLE GERMAINE SIMONSON'S DELEGATE REGION  
 (CHAPTERS: HARD ROCK, FOREST LAKE, PINON, BLACK MESA,  
 WHIPPOORWILL)

BE IT ENACTED:

SECTION ONE. AUTHORITY

- A. The Navajo Nation Council is the governing body of the Navajo Nation. 2 N.N.C. § 102(A).
- B. The Naabik'íyáti' Committee is a standing committee of the Navajo Nation Council with the delegated responsibility to hear proposed resolution(s) that require final action by the Navajo Nation Council. 2 N.N.C. § 164(A)(9).
- C. Navajo Nation Council Resolution No. CJN-29-22, incorporated herein by reference, mandates that Navajo Nation Fiscal Recovery Fund ("NNFRF") Delegate Region Project Plans be approved by Navajo Nation Council resolution and signed into law by the President of the Navajo Nation pursuant to 2 N.N.C. § 164(A) and 2 N.N.C. §§ 1005(C)(10), (11), and (12).

SECTION TWO. FINDINGS

- A. Navajo Nation Council Resolution No. CJN-29-22, AN ACTION RELATING TO THE NAABIK'ÍYÁTI' COMMITTEE AND NAVAJO NATION COUNCIL; ALLOCATING \$1,070,298,867 OF NAVAJO NATION FISCAL RECOVERY FUNDS; APPROVING THE NAVAJO NATION FISCAL RECOVERY FUND EXPENDITURE PLANS FOR: CHAPTER AND REGIONAL PROJECTS; PUBLIC SAFETY EMERGENCY COMMUNICATIONS, E911, AND RURAL ADDRESSING PROJECTS; CYBER SECURITY; PUBLIC HEALTH PROJECTS; HARDSHIP ASSISTANCE; WATER AND WASTEWATER PROJECTS; BROADBAND PROJECTS; HOME ELECTRICITY CONNECTION AND ELECTRIC CAPACITY PROJECTS; HOUSING PROJECTS AND MANUFACTURED HOUSING FACILITIES; BATHROOM ADDITION PROJECTS; CONSTRUCTION CONTINGENCY FUNDING; AND REDUCED ADMINISTRATIVE FUNDING, was signed into law by the President of the Navajo Nation on July 15, 2022.
- B. CJN-29-22, Section Three, states, in part and among other things, that

1. The Navajo Nation hereby approves total funding for the NNFRF Chapter and Chapter Projects Expenditure Plan from the Navajo Nation Fiscal Recovery Fund in the total amount of two hundred eleven million two hundred fifty-six thousand one hundred forty-eight dollars (\$211,256,148) to be divided equally between the twenty-four (24) Delegate Regions in the amount of eight million eight hundred two thousand three hundred forty dollars (\$8,802,340) per Delegate Region . . . and allocated through Delegate Region Project Plans approved by Navajo Nation Council resolution and signed into law by the President of the Navajo Nation . . . See CJN-29-22, Section Three (B).
  2. The Delegate Region Project Plan funding will be allocated to the Navajo Nation Central Government, specifically the Division of Community Development or other appropriate Navajo Nation Division or Department, to implement the projects rather than directly to the Chapters. See CJN-29-22, Section Three (D).
  3. The Navajo Nation Central Government, specifically the Division of Community Development or other appropriate Navajo Nation Division or Department, shall manage and administer funds and Delegate Region Project Plans on behalf of Non-LGA-Certified Chapters. The Navajo Nation Central Government may award funding to LGA-Certified Chapters through sub-recipient agreements to implement and manage specific projects, but shall maintain Administrative Oversight over such funding and Delegate Region Project Plans. See CJN-29-22, Section Three (E).
  4. Each Navajo Nation Council delegate shall select Fiscal Recovery Fund eligible projects within their Delegate Region to be funded by the NNFRF Chapter and Regional Projects Expenditure Plan through a Delegate Region Projects Plan. The total cost of projects selected by each Delegate shall not exceed their Delegate Region distribution of eight million eight hundred two thousand three hundred forty dollars (\$8,802,340). See CJN-29-22, Section Three (F).
  5. Each Delegate Region Project shall identify its Administrative Oversight entity and its Oversight Committee(s) and be subject CJY-41-21's NNDOJ initial eligibility determination. See CJN-29-22, Section Three (L) (5) and (L) (6).
- C. All projects listed in the Hon. Germaine Simonson's Delegate Region Projects Plan, attached as Exhibit A, have been deemed Fiscal Recovery Fund eligible by NNDOJ. In addition, Hon.

Germaine Simonson's Delegate Region Projects Plan does not exceed the amount of \$8,802,340, as set forth in CJN-29-22, Section Three (F).

- D. The Navajo Nation Council hereby finds that it is in the best interest of the Navajo Nation and the Hon. Germaine Simonson's Delegate Region Chapters and communities to approve and adopt the Navajo Nation Fiscal Recovery Fund Delegate Region Project Plan for Hon. Germaine Simonson's Delegate Region (Chapters: Hard Rock, Forest Lake, Pinon, Black Mesa, Whippoorwill) as set forth in Exhibit A.

#### SECTION THREE. APPROVAL OF HON. GERMAINE SIMONSON'S DELEGATE REGION PROJECT PLAN

- A. The Navajo Nation hereby approves the Fiscal Recovery Fund Delegate Region Project Plan for Hon. Germaine Simonson's Delegate Region (Chapters: Hard Rock, Forest Lake, Pinon, Black Mesa, Whippoorwill) set forth in Exhibit A.
- B. The Delegate Region Project Plan approved herein shall comply with all applicable provisions of CJY-41-21, CJN-29-22, and BFS-31-21.
- C. Any inconsistencies between this legislation, the Delegate Region Project Plan, and the individual project appendix, shall be resolved in favor of the project appendix reviewed by Department of Justice during their eligibility determination(s).

#### SECTION FOUR. AMENDMENTS

Amendments to this legislation or to the Delegate Region Project Plan approved herein shall only be adopted by resolution of the Navajo Nation Council and approval of the President of the Navajo Nation pursuant to 2 N.N.C. § 164(A)(17) and 2 N.N.C. §§ 1005(C)(10), (11), and (12).

#### SECTION FIVE. EFFECTIVE DATE

This legislation shall be effective upon its approval pursuant to 2 N.N.C. § 221(B), 2 N.N.C. § 164(A)(17), and 2 N.N.C. §§ 1005(C)(10), (11), and (12).

#### SECTION SIX. SAVING CLAUSE

If any provision of this legislation is determined invalid by the Navajo Nation Supreme Court, or by a Navajo Nation District Court without appeal to the Navajo Nation Supreme Court, those provisions

of this legislation not determined invalid shall remain the law of the Navajo Nation.

CERTIFICATION

I, hereby, certify that the foregoing resolution was duly considered by the 25<sup>th</sup> Navajo Nation Council at a duly called meeting in Window Rock, Navajo Nation (Arizona), at which a quorum was present and that the same was passed by a vote of 21 in Favor, and 00 Opposed, on this 18<sup>th</sup> day of April 2023.



Honorable Crystalyne Curley, Speaker  
25<sup>th</sup> Navajo Nation Council

4/27/23

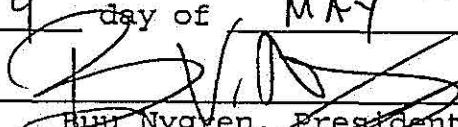
DATE

Motion: Honorable Rickie Nez  
Second: Honorable Vince R. James

Speaker Crystalyne Curley not voting

ACTION BY THE NAVAJO NATION PRESIDENT:

1. I, hereby, sign into law the foregoing legislation, pursuant to 2 N.N.C. § 1005 (C) (10), on this 4 day of MAY, 2023.

  
\_\_\_\_\_  
Buu Nygren, President  
Navajo Nation

2. I, hereby, veto the foregoing legislation, pursuant to 2 N.N.C. § 1005 (C) (11), on this \_\_\_\_\_ day of \_\_\_\_\_, 2023 for the reason(s) expressed in the attached letter to the Speaker.

\_\_\_\_\_  
Buu Nygren, President  
Navajo Nation

3. I, hereby, exercise line-item veto pursuant to the budget line-item veto authority delegated to the President by vote of the Navajo People in 2009, on this \_\_\_\_\_ day of \_\_\_\_\_, 2023.

\_\_\_\_\_  
Buu Nygren, President  
Navajo Nation



**NAVAJO NATION FISCAL RECOVERY FUND DELEGATE REGION PROJECT PLAN**

COUNCIL DELEGATE: Delegate Germaine Simonson

**Exhibit A**

CHAPTERS: Hard Rock, Forest Lake, Pinon, Black Mesa, Whippoorwill

FUNDING RECIPIENT	SUBRECIPIENT	EXPENDITURE PLAN / PROJECT	ADMIN OVERSIGHT	FRF CATEGORY	DOJ REVIEW #	AMOUNT
Division of Community Development	* Pinon Chapter	Pinon Chapter Housing for Community	Division of Community Development	2.15	HK0282-2	\$ 1,000,000.00
Division of Community Development	*Pinon Chapter	Pinon Chapter Septic & Leach Field Repair or Replacement Project	Division of Community Development	5.3	HK0283	\$ 260,468.00
Division of Community Development	None Identified	Forest Lake Chapter Bathroom Additions Project	Division of Community Development	1.14	HK0391	\$ 679,120.00
Division of Community Development	*Pinon Chapter	Pinon Chapter Housing Renovation and Repair for Pinon Community	Division of Community Development	2.18	HK0284-2	\$ 500,000.00
Division of Community Development	*Whippoorwill Chapter	Whippoorwill Chapter Home Renovation Project	Division of Community Development	2.18	HK0427	\$ 160,439.00
Division of Community Development	*Whippoorwill Chapter	Whippoorwill Chapter Septic Services Project	Division of Community Development	5.5	HK0426	\$ 78,750.00
Division of Community Development	*Whippoorwill Chapter	Whippoorwill Chapter Elderly Bathroom Modification Project	Division of Community Development	1.14	HK0429	\$ 60,000.00
Division of Community Development	*Whippoorwill Chapter	Whippoorwill Chapter New Home Waterline Project	Division of Community Development	5.11	HK0430	\$ 48,000.00
Division of Community Development	*Whippoorwill Chapter	Whippoorwill Chapter New House Construction	Division of Community Development	2.15	HK0431	\$ 722,753.47
		CONTINUES on Page 2.....				

\*Per CJN-29-22, Section Three (E), the "Navajo Nation Central Government may award funding to LGA-Certified Chapters through sub-recipient agreements to implement and manage specific projects, but shall maintain Administrative Oversight over such funding and Delegate Region Project Plans."

**TOTAL: \$ 3,509,530.47**

**NAVAJO NATION FISCAL RECOVERY FUND DELEGATE REGION PROJECT PLAN**

COUNCIL DELEGATE: Delegate Germaine Simonson

**Exhibit A**

CHAPTERS: Hard Rock, Forest Lake, Pinon, Black Mesa, Whippoorwill

FUNDING RECIPIENT	SUBRECIPIENT	EXPENDITURE PLAN / PROJECT	ADMIN OVERSIGHT	FRF CATEGORY	DOJ REVIEW #	AMOUNT
		TOTAL AMOUNT from PAGE 01				\$ 3,509,530.47
Division of Community Development	*Whippoorwill Chapter	Whippoorwill Chapter Hire of Personnel (Technical Staff/Skilled Construction Workers)	Division of Community Development	2.37	HK0447	\$ 630,517.00
		UN-ALLOCATED AMOUNT				\$ 4,662,292.53

**TOTAL: \$ 8,802,340.00**

\*Per CJN-29-22, Section Three (E), the "Navajo Nation Central Government may award funding to LGA-Certified Chapters through sub-recipient agreements to implement and manage specific projects, but shall maintain Administrative Oversight over such funding and Delegate Region Project Plans."

**NAVAJO NATION**

71

4/18/2023

Navajo Nation Council Spring Session

10:50:46 AM

<p>Amd# to Amd#</p> <p>MOT Nez, R</p> <p>SEC James, V</p>	<p>Legislation 0019-23: Approving the Navajo Nation Fiscal Recovery Fund Delegate Region Plan for Honorable Germaine.....</p>
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**PASSED**

**Yeas : 21**

**Nays : 0**

**Excused : 2**

**Not Voting : 0**

**Yea : 21**

Arviso, S	Damon, S	Nez, R	Slater, C
Aseret, L	Daniels, H	Notah, N	Tolth, G
Begay, H	James, V	Parrish, S	Tso, O
Begay, N	Johnson, C	Simonson, G	Yanito, C
Charles-Newton, E	Nez, A	Simpson, D	Yazzie, C
Claw, S			

**Nay : 0**

**Excused : 2**

Jesus, B	Crotty, A
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**Not Voting : 0**

**Presiding Speaker: Curley, C**

# Pinon Chapter Housing for Community



Job K2115197 US TREASURY-PINON CH HSG COMMU

Project

Cost Code	Cost Type	Description	L P M D E C U M	Original Budget Amt	Revised Budget Amt	Actual Amount	Open Commit Amount	Budget Balance	% Revised Spent	% Revised Remaining
1710	Program Revenue	6 BN		1,000,000.00-	1,000,000.00-			1,000,000.00-		1.00
1710	Program Revenue	6 T		1,000,000.00-	1,000,000.00-			1,000,000.00-		1.00
1705	CG Revenue	5 T		1,000,000.00-	1,000,000.00-			1,000,000.00-		1.00
1700	External C/G Revenue Sourc	4 T		1,000,000.00-	1,000,000.00-			1,000,000.00-		1.00
1000	Revenues	3 T		1,000,000.00-	1,000,000.00-			1,000,000.00-		1.00
6520	Consulting	6 BN		1,000,000.00	1,000,000.00			1,000,000.00		1.00
6520	Consulting	6 T		1,000,000.00	1,000,000.00			1,000,000.00		1.00
6510	Professional Services	5 T		1,000,000.00	1,000,000.00			1,000,000.00		1.00
6500	Contractual Services	4 T		1,000,000.00	1,000,000.00			1,000,000.00		1.00
2000	Expenses	3 T		1,000,000.00	1,000,000.00			1,000,000.00		1.00

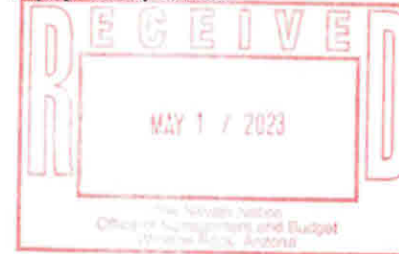


NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS



RFS/HK Review #: HK0282-2

Date & Time Received: 01/11/2023 at 12:03

Date & Time of Response: 23 January 2023; 11:20 am

Entity Requesting FRF: Pinon Chapter

Title of Project: Housing by Pinon Community

Administrative Oversight: Division of Community Development

Amount of Funding Requested: \$1,000,000.00

Eligibility Determination:

- FRF eligible
FRF ineligible
Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
(2) Premium Pay
(3) Government Services/Lost Revenue
(4) Water, Sewer, Broadband Infrastructure

U.S. Department of Treasury Reporting Expenditure Category: 2.15 Long-term Housing
Security: Affordable Housing

**Returned for the following reasons (Ineligibility Reasons/Paragraphs 5.E.(1)-(10) of FRF Procedures):**

- |  |  |
|--|--|
| <input type="checkbox"/> Missing Form  | <input type="checkbox"/> Expenditure Plan incomplete                     |
| <input type="checkbox"/> Supporting documentation missing  | <input type="checkbox"/> Funds will not be obligated by 12/31/2024       |
| <input type="checkbox"/> Project will not be completed by 12/31/2026                                     | <input type="checkbox"/> Incorrect Signatory                             |
| <input type="checkbox"/> Ineligible purpose  | <input type="checkbox"/> Inconsistent with applicable NN or federal laws |
| <input type="checkbox"/> Submitter failed to timely submit CARES reports                                 |  |
| <input type="checkbox"/> Additional information submitted is insufficient to make a proper determination |  |

Other Comments: \_\_\_\_\_  
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\_\_\_\_\_

Name of DOJ Reviewer: Adjua Adjei-Danso

Signature of DOJ Reviewer: Adjua Adjei-Danso

Digitally signed by Adjua Adjei-Danso  
Date: 2023.01.23 11:21:32 -0700

**Disclaimers:**

If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. Please email your resubmission to [arfa@nndo.org](mailto:arfa@nndo.org). Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDOJ Initial Eligibility Determination is based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

**THE NAVAJO NATION  
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN  
FOR GOVERNANCE-CERTIFIED CHAPTERS**

**Part 1. Identification of parties.**

Governance-Certified Chapter requesting FRF: Pinon Chapter Date prepared: 12/01/2022

Chapter's mailing address: P. O. Box 12 phone & email: 928-725-3710/3711  
Pinon Arizona 86510 website (if any): \_\_\_\_\_

This Form prepared by: Sharon A. Begay phone/email: 928-725-3710/3711  
Chapter Manager

CONTACT PERSON'S name and title

CONTACT PERSON'S info

Title and type of Project: Housing for Pinon Community

Chapter President: Bessie S. Allen phone & email: 928-313-4983

Chapter Vice-President: Bill Yazzie phone & email: 928-383-6113

Chapter Secretary: Ramona Nalwood phone & email: 928-675-7520

Chapter Treasurer: Ramona Nalwood phone & email: 928-675-7520

Chapter Manager or CSC: Sharon A. Begay phone & email: 928-675-7021

DCD/Chapter ASO: Edgerton Gene phone & email: 928-674-2251

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): Housing Contractor

document attached

Amount of FRF requested: 1,000,000.00 FRF funding period: December 2022 to December 31, 2026  
Indicate Project starting and ending/deadline date

**Part 2. Expenditure Plan details.**

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

The Pinon Chapter is requesting \$1,000,000.00 to construct new housing and/or purchase new homes to assist Navajo families. Providing stable housing for those in need will help eliminate the spread of COVID-19 among families living in multi-generational homes and provide new homes for homeless families and individuals, which will provide sanitary infrastructure (water/wastewater and electricity) to help combat COVID-19 pandemic

document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

This project will benefit the needs of the Pinon Chapter community members by providing stable housing for those in need. Pinon Chapter strives to provide affordable housing for individuals with limited economic opportunities. During this COVID-19 pandemic, stable housing will improve the living conditions for families living in multi-generational homes and help provide sanitary infrastructure.

document attached

(c) A prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the Program(s) or Project(s) by December 31, 2026:



**APPENDIX A**

Collect applications 9/01/2022 to 12/31/2022  
Advertise for homes builders to construct new homes: 12/2022 to 01/2023  
Construction: 02/2023 to 12/31/2023

document attached

(d) Identify who will be responsible for implementing the Program or Project:  
Pinon Chapter Manager and Officials

document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

Homeowners are responsible for the operation and maintenance of new homes following a 1 year warranty period.

document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

This project falls under the new expenditure Category 2.16 Long-Term Housing  
Providing new homes for navajo families in need will help to address homelessness by offering housing support and improving access to secure, stable, affordable housing.

document attached

**Part 3. Additional documents.**

List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

Collect applications 9/01/2022 to 12/31/2022  
Advertise for homes builders to construct new homes: 12/2022 to 01/2023  
Construction: 02/2023 to 12/31/2023

Chapter Resolution attached

**Part 4. Affirmation by Funding Recipient.**

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

Chapter's Preparer: [Signature]  
signature of Preparer/CONTACT PERSON

Approved by: [Signature]  
signature of Chapter President (or Vice-President)

Approved by: [Signature]  
signature of Chapter Manager or CSC

Approved by: [Signature]  
signature of DCD/Chapter ASO

Approved to submit for Review: [Signature]  
signature of DCD Director

FY 2023

K2115197

THE NAVAJO NATION  
PROGRAM BUDGET SUMMARY

APPENDIX B

BUDGET FORM 1

PART I. Business Unit No.: <u>New</u> <del>ARPA FUNDS</del>		Program Title: <u>Pinon Chapter - Housing Project</u>		Division/Branch: <u>ASC/DCD</u>				
Prepared By: <u>Sharon A. Begay</u>		Phone No.: <u>928-725-3710</u>		Email Address: <u>sabegay@nnchapters.org</u>				
PART II. FUNDING SOURCE(S)	Fiscal Year /Term	Amount	% of Total	PART III. BUDGET SUMMARY	Fund	(A)	(B)	(C)
					Type Code	NNC Approved Original Budget	Proposed Budget	Difference or Total
ARPA FUNDS	2022 to 2028	1,000,000.00	100%					
	<u>10/1/22-10/1/23</u>			2001 Personnel Expenses				
				3000 Travel Expenses				
				3500 Meeting Expenses				
				4000 Supplies				
				5000 Lease and Rental				
				5500 Communications and Utilities				
				6000 Repairs and Maintenance				
				6500 Contractual Services	<u>6</u>	<u>0</u>	1,000,000	<u>1,000,000</u>
				7000 Special Transactions				
				8000 Public Assistance				
				9000 Capital Outlay				
				9500 Matching Funds				
				9500 Indirect Cost				
				TOTAL		\$0.00	1,000,000.00	<u>1,000,000</u>
				PART IV. POSITIONS AND VEHICLES		(D)	(E)	
				Total # of Positions Budgeted:		<u>8 kw</u>	<u>8 kw</u>	
				Total # of Vehicles Budgeted:		<u>8 kw</u>	<u>8 kw</u>	
TOTAL: \$1,000,000.00 100%								
PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.								
SUBMITTED BY: <u>Sonlatsa Jim- Martin, ASC Manager</u>			APPROVED BY: <u>Pearl Yellowman, DCD Director</u>					
Program Manager's Printed Name			Division Director / Branch Chief's Printed Name					
<u>Sonlatsa Jim</u>			<u>Pearl Yellowman</u>			<u>12.5.22</u>		
Program Manager's Signature and Date			Division Director / Branch Chief's Signature and Date					

AB 5/11/23

FY 2023 kw

THE NAVAJO NATION  
PROGRAM PERFORMANCE CRITERIA

Page \_\_\_ of \_\_\_  
BUDGET FORM 2

K215197

PART I. PROGRAM INFORMATION:

Business Unit No.: ~~NEW KW~~

Program Name/Title: Pinon Chapter Housing Project kw

PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:

PART III. PROGRAM PERFORMANCE CRITERIA:

1st QTR		2nd QTR		3rd QTR		4th QTR	
Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual

1. Goal Statement:

Build ten (10) new homes for community members. kw

Program Performance Measure/Objective:

Have two (2) homes full built by end of each quarter kw

<u>2</u> <u>kw</u>		<u>2</u> <u>kw</u>		<u>2</u> <u>kw</u>		<u>2</u> <u>kw</u>	
-----------------------	--	-----------------------	--	-----------------------	--	-----------------------	--

2. Goal Statement:

Program Performance Measure/Objective:

--	--	--	--	--	--	--	--

3. Goal Statement:

Program Performance Measure/Objective:

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4. Goal Statement:

Program Performance Measure/Objective:

--	--	--	--	--	--	--	--

5. Goal Statement:

Program Performance Measure/Objective:

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PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.

James Adakai, Deputy Director  
Program Manager's Printed Name kw

Calvin Castillo, Executive Director  
Division Director/Branch Chief's Printed Name

[Signature]  
Program Manager's Signature and Date 5-8-23

[Signature]  
Division Director/Branch Chief's Signature and Date

AB 5/11/23

FY 2023 KW

THE NAVAJO NATION  
DETAILED BUDGET AND JUSTIFICATION

K2115197

PART I. PROGRAM INFORMATION:				
Program Name/Title: <u>Pinon Chapter Housing Project.</u> <small>KW</small>		Business Unit No.: <u>New</u> <small>KW</small>		
PART II. DETAILED BUDGET:				
(A)	(B)	(C)	(D)	
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)	
<small>KW</small> 6500	Contractual Services <small>KW</small>		1,000,000 <small>KW</small>	
<small>KW</small> 6520	Consulting <small>KW</small>			
	6530 - consulting - Fees <small>KW</small>			
	6540 - consulting - Expenses <small>KW</small>			
	$  \begin{array}{r}  500,000 \text{ KW} \\  + 500,000 \text{ KW} \\  \hline  1,000,000 \text{ KW}  \end{array}  $	1,000,000 <small>KW</small>		
TOTAL		1,000,000 <small>KW</small>	1,000,000 <small>KW</small>	

AB 5/17/23

**THE NAVAJO NATION  
PROJECT BUDGET SCHEDULE**

<b>PART I. Business Unit No.:</b> <u>New</u>															<b>PART II. Project Information</b> Project Type: <u>Pinon Chapter: Housing by Pinon Community</u> Planned Start Date: <u>5/1/2023</u> Planned End Date: <u>9/30/2026</u> Project Manager: <u>Div of Community Development</u>															
Project Title: <u>Pinon Chapter: Housing by Pinon Community</u>																														
Project Description <u>Delegate Regional Projects Plan</u>																														
Check one box: <input checked="" type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification																														
<b>PART III.</b> List Project Task separately; such as Plan, Design, Construct, Equip or Furnish.	<b>PART IV. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc.</b>																													
	FY <u>2023</u>												FY <u>2024</u>												Expected Completion Date if project exceeds 8 FY Qtrs.					
	1st Qtr.			2nd Qtr.			3rd Qtr.			4th Qtr.			1st Qtr.			2nd Qtr.			3rd Qtr.			4th Qtr.			Date	2026				
	O	N	D	J	F	M	A	M	J	Jul	A	S	O	N	D	J	F	M	A	M	J	Jul	A	S	O	N	D	J	F	M
<b>Setting Up Business Units - OMB</b>																														
<b>Procurement (Bidding, Contracts)</b>																														
<b>Labor and Construction Phase</b>																														
<b>Project Close Outs</b>																														
<b>PART V.</b> Expected Quarterly Expenditures	\$			\$			\$			\$			\$			\$			\$			\$			PROJECT TOTAL					
							10%									90%						100%								

**FOR OMB USE ONLY:**    Resolution No: \_\_\_\_\_    FMIS Set Up Date: \_\_\_\_\_    Company No: \_\_\_\_\_    OMB Analyst: \_\_\_\_\_

ASB 5/1/23

*Legislation CJN-29-22: \$8,802,340 mil per Delegate*

*Pinon Chapter equal distribution \$1,760,468 per 5 chapters*

<b>\$ 260,468.00</b>	<b>1</b>	Septic & Leech field repairs: 10 repairs @ \$20,000 each
<b>\$ 1,000,000.00</b>	<b>2</b>	New Housing- 5 families have HSL
<b>\$ 500,000.00</b>	<b>3</b>	Housing renovations @ \$5000.00 ea = 100 homes
<b>\$ 1,760,468.00</b>		<b>TOTAL</b>



## RESPONSE: FRF Review for HK0282 Pinon Housing

1. What is the criteria to be approved for a new home/how will recipients be selected? Please be specific, including any family size and income limitations, as well as any other specific needs of the intended recipients.

### ELIGIBILITY CRITERIA:

- a. Completed Housing Assistance Application by potential Homeowner
- b. Applicant must provide and attach a copy of their completed home site lease
- c. The applications are vetted by a summary sheet based on family size, Annual income, over crowdedness, unsanitary/unsafe living conditions with the 5<sup>th</sup> factor an elder, handicapped, disabled and or veteran status. (Attached)
- d. This one-time program will address, only Homelessness and overcrowding for a family to be eligible. (No exception).

2. Please describe in more detail the homes to be built, including square footage, number of bedrooms, etc.

Our first choice will be a home built from the foundation up to last longer. The Second choice would be a modular home on a foundation.

The One bedroom homes will be at least 500-1000 square feet. Two bedroom homes will be at least 820-1300 square feet. The Three bedrooms homes at will be at least 900 – 1350 square feet.

All square footages meet HUD guidelines

3. Please describe how the estimated size and cost per home compares to homes in the area these homes will be located.

The median price of a home in some of the closest counties in Arizona:

Navajo County Median pricing is \$450,000.00 and \$272 a square foot.

Coconino County median pricing \$699,900.00 and \$384.00 a square foot.

Gila County median pricing \$475,000.00 and \$297 a square foot.

Maricopa County median pricing is @530,000.00 and \$292 a square foot

This averages out to about \$539,000.00 and \$311.00 a square foot.

Pinon Chapter has budgeted for at least \$175 a square foot, which is half of the average price of a home of similar size in the surrounding area. The turn-key homes will range from \$90,000.00 to \$240,000.00

4. Please explain whether the home construction projects would qualify for any federally

funded housing programs, including but not limited to the National Housing Trust

Fund, Indian Housing Block Grant program, the Indian Community Development

Block Grant program, or the Bureau of Indian Affairs Housing Improvement

Program.

No, they would not qualify for any of the above funding sources. However, We are following the Navajo Nation Community Housing & Infrastructure Department and Navajo Housing Authority Guidelines.



# PINON CHAPTER

## HOUSING POINT ALLOCATIONS SUMMARY SHEET

Name of Applicant: \_\_\_\_\_

Phone No. \_\_\_\_\_

Name of Co-Applicant: \_\_\_\_\_

**Type of Assistance Requested By Category:**

A – Minor Repairs

C – Partial Assistance

B – Major Repairs

D – New Construction

Factor	Description	Points Allocated
1	Family Size	
2	Annual Household Income	
3	Overcrowded Living Conditions (Causes massive COVID-19 transmission)	
4	Unsanitary or Unsafe Living Conditions (Causes COVID-19 escalation)	
5	Elderly, Handicapped/Disabled, and/or Veteran Status (COVID-19 immunocompromised)	
<b>Total Points Allocated</b>		

Assessed By: \_\_\_\_\_

Date: \_\_\_\_\_

Applicant is approved = \_\_\_\_\_ New Housing Construction. Bedroom Size: \_\_\_\_\_

\_\_\_\_\_ Existing Housing Repairs/Renovation/Addition or Completion.  
NOT TO EXCEED \$ \_\_\_\_\_

Applicant is Denied: Denial due to: \_\_\_\_\_  
\_\_\_\_\_

APPROVED BY: \_\_\_\_\_

DATE: \_\_\_\_\_

Factors 1 and 2: Family Size and Annual Income		
No. of Family Members	Annual Income	Points
1	\$5,733 or less.....	5
	\$5,734 to \$8,673.....	4
	\$8,674 to \$11,613.....	3
	\$11,614 to \$14,700.....	2
	\$14,701 or more.....	1
2	\$7,722 or less.....	5
	\$7,723 to \$11,682.....	4
	\$11,683 to \$15,642.....	3
	\$15,643 to \$19,800.....	2
	\$19,801 or more.....	1
3	\$9,711 or less.....	5
	\$9,712 to \$14,691.....	4
	\$14,692 to \$19,671.....	3
	\$19,672 to \$24,900.....	2
	\$24,901 or more.....	1
4	\$11,700 or less.....	5
	\$11,701 to \$17,700.....	4
	\$17,701 to \$23,700.....	3
	\$23,701 to \$30,000.....	2
	\$30,001 or more.....	1
5	\$13,689 or less.....	5
	\$13,690 to \$20,709.....	4
	\$20,710 to \$27,729.....	3
	\$27,730 to \$35,100.....	2
	\$35,101 or more.....	1
6	\$15,678 or less.....	5
	\$15,679 to \$23,718.....	4
	\$23,719 to \$31,758.....	3
	\$31,759 to \$40,200.....	2
	\$40,201 or more.....	1
7	\$17,667 or less.....	5
	\$17,668 to \$26,727.....	4
	\$26,728 to \$35,787.....	3
	\$35,788 to \$45,300.....	2
	\$45,301 or more.....	1

Factor 3: Overcrowded Living Conditions		
No. of Rooms	No. of Household Members	Points
0	6 or more individuals...	5
	5 individuals.....	4
	4 individuals.....	3
	3 individuals.....	2
	2 individuals.....	1
1	1 individuals.....	0
	6 or more individuals...	5
	5 individuals.....	4
	4 individuals.....	3
	3 individuals.....	2
2	2 individuals.....	1
	1 individuals.....	0
	7 or more individuals...	5
	6 individuals.....	4
	5 individuals.....	3
3	4 individuals.....	2
	3 individuals.....	1
	2 or less individuals.....	0
	8 or more individuals...	5
	7 individuals.....	4
4	6 individuals.....	3
	5 individuals.....	2
	4 individuals.....	1
	3 or less individuals.....	0
	6 or more individuals...	5
5	5 individuals.....	4
	4 individuals.....	3
	3 individuals.....	2
	2 individuals.....	1
	1 individuals.....	0

Factor 4: Unsanitary or Unsafe Living Conditions	Points
Homelessness = Unsanitary and Unsafe Conditions	6
Whole house should be condemned due to major deficiencies and/or deterioration. Family need to be relocated there is no other home(s) is available.	5
House has no running water (plumbing), electricity and heating system	4
Roof is leaking, water leaks into rooms or underneath the house causing decay and in need of replacement. Install or repair water or waste water, disposal systems, and repair or replace roof/repair structural supports.	3
Exterior siding deteriorating uneven floors, cracked walls and/or energy conservation measures needed such as insulation, storm windows, etc.	2
Window(s) are broken, door(s) are missing or very difficult to open/close, gaps around some of the door assemblies, bathroom not working properly. Needs to replace toilet, bathtub, sink/lavatory or sewer system failure.	1
There are safe/sanitary conditions	0

Factor 5: Elderly, Handicapped/Disabled and/or Veteran Status	Points
Elderly, Disabled and a Veteran.	5
Elderly and a Veteran.	4
Disabled and a Veteran.	3
Elderly and Disabled.	2
Elderly, Disabled or a Veteran.	1
Not Elderly, Not Disabled, and Non-Veteran.	0



## PIÑON CHAPTER

Post Office Box 127 • Pinon, Arizona 86510 • (928) 725-3710/3711 • FAX (928)725-3712

### RESOLUTION # 23-01 OF PINON CHAPTER THE NAVAJO NATION, PINON, ARIZONA

APPROVING, PRIORITIZING AND SUBMITTING PINON CHAPTER'S LISTED PROPOSED PROJECT FOR FULL FUNDINGS FROM THE AMERICAN RESCUE PLAN ACT, PURSUANT TO CJN-29-22, AS PROJECTS IN EXHIBIT A, B, AND C, TO COMBAT COVID 19 PANDEMIC.

#### WHEREAS:

1. THE Navajo Nation Council by Resolution CS-34-98, enacted the Navajo Nation Local Governance Act and Codified it under the Navajo Nation Code; AND
2. That pursuant to Title 26 NNC, Section B-1&2, Pinon Chapter is an LGA Certified Chapter government of the Navajo Nation Government, thus acts on this foregoing resolution pursuant to the authority vested to Pinon Chapter to recognize, address and advocate for the local needs, its interests, and matters consistent with the Navajo Nation Laws, which includes Navajo customs and traditions; AND
3. That the Navajo Nation Council enacted Resolution CIN-29-22, \$1,070,298,867. the Navajo Nation Fiscal Recovery Fund Expenditure Plans for the Navajo Nation Chapters and Regional Projects; Public Safety, Emergency Communications, E911, Rural Addressing, Cyber Security, Public Health Projects, Hardship Assistance, Water and Waste Water Projects, Broadband Projects, Home Electricity Connections, Electric Capacity Projects, Housing Projects, and Manufactured Housing, Bathroom Additions, and Construction Contingency fundings, AND
4. That the Pinon Chapter, hereby, submits their listed proposed projects, (see Exhibit A), pursuant to CJN-29-22, to Division of Community Development ARPA Portal via our Council Delegate, Jimmy Yellowhair, for consideration by the Navajo Nation Council; AND
5. That the Pinon, hereby, submits their Chapter's listed proposed projects, (see Exhibit B), pursuant to CJN-29-22, via our Council Delegate, Jimmy Yellowhair, for ARPA funding consideration from the Delegate Regional distribution; AND

6. That the Pinon Chapter, hereby, submits, pursuant to CJN-29-22, their Chapter's listed proposed projects with listed recipients that was duly considered and recommended by Chapter Resolution to the Navajo Hopi Land Commission, (see Exhibit C), from the Navajo Partition Land allocation for Modular Homes; AND
7. That it is in the best Health interests of Pinon Chapter and its community to have the Navajo Nation Council to fully consider to fully ARPA fund all of the Pinon Chapter's listed proposed projects on the attached Exhibits.

NOW, THEREFORE, BE IT RESOLVED THAT:

THE Pinon Chapter, hereby, pursuant to CJN-29-22, Approves and Recommends The Submission of the Chapter's Proposed Projects listed on the attached Exhibit A, Exhibit B and Exhibit C for Full Fundings Consideration by the Navajo Nation Council from Funds Allocated by the United States Congress, the American Rescue Plan Act, to Combat the Health Pandemic, COVID 19.

#### CERTIFICATION

WE, the undersigned, hereby, certified that the foregoing resolution was duly considered at a duly called Pinon Chapter meeting at which a quorum was present and same was duly discussed and acted upon by a vote of 35 in favor, 0 opposed and 14 abstained On the 10th day of October, 2022, at Pinon Chapter House, the Navajo Nation, Pinon, Arizona.

Motioned by: Laverne Gorney

Seconded by: Danny Luman

Bessie S. Allen

Bessie S. Allen, Chapter President

Bill Yazzie

Bill Yazzie, Chapter Vice-President

Ramona Nalwood

Ramona Nalwood, Chapter Secretary/Treasurer

CONCURRED; Council Delegate

\_\_\_\_\_