



December 29, 2023

TRANSMITTAL

TO : Arbin Mitchell, Executive Director
Division of Community Development (DCD)

FROM : CSM
Dominic Beyer, Executive Director
Contracts & Grants Section (CGS) / OMB

SUBJECT : BU on Projects / Activities for Crystal Chapter, Ft. Defiance Chapter, Red Lake Chapter, Sawmill Chapter - ARPA Funds Allocated to Delegate Dr. Nez Region

I. Information on Contract (per Original Award):

Sawmill Chapter, Ft. Defiance Chapter, Crystal Chapter, Red Lake Chapter		U.S. Treasury American Recovery Plan Act (ARPA)		21.027
Title of Contract		Funding Agency		CFDA No. - Federal
NABIO-30-23; CJY-55-23; CAP-19-23; CD-68-22		\$ 8,802,340.00	2022	03/11/2021 to 12/31/2026
Grant No.	Amount	Fiscal Year	Term - Begin and End Date	

II. Data Entered in FMIS Regarding:

<input type="checkbox"/>	New Contract or Grant	Company No.	<u>8059</u>	Business Unit (K#)	<u>K2115438 - K2115440</u>
<input checked="" type="checkbox"/>	Contract Mod No.	<u>Internal Modification No. 5</u>			
<input checked="" type="checkbox"/>	Amt of Award	<u>Increase</u>	<u>\$490,000.00</u>	<u>\$6,266,709.00</u> to	<u>\$6,756,709.00</u>
			AMOUNT	FROM	TO
<input type="checkbox"/>	Budget Period - Extend End Date:	From	<u> </u>	To	<u> </u>
<input type="checkbox"/>	Other, specify: _____				

Authorizing Document - Attached:

☐ Contract / Agreement - Date executed _____
☐ NNC / Committee Resolution - No. & Date _____
☒ Other, specify: NN Council Resolution NABIO-30-23

III. Comments by CGS:

This Transmittal documents 6th budget entered in FMIS on \$8.8 mil ARPA funds allocated to CD Dr. Andy Nez Region. Y-T-D budget in FMIS for CD Dr. Nez Region is \$6,756,709. Information on Section I. of Transmittal above reflects \$8,802,340 ARPA funding allocated for distribution to Chapters the respective Council Delegate service aka Region.

Attachment

Copy: Contract files
Contract Accounting / OOC / DPM
Tom Platero, Executive Director - NN FRF Office

Revised February 2023

NAVAJO NATION FISCAL RECOVERY FUND DELEGATE REGION PROJECT PLAN

COUNCIL DELEGATE: Hon. Andy Nez, Ph.D.

Exhibit A

CHAPTERS: Crystal, Fort Defiance, Red Lake, Sawmill

FUNDING RECIPIENT	SUBRECIPIENT	EXPENDITURE PLAN / PROJECT	ADMIN OVERSIGHT	FRF CATEGORY	DOJ REVIEW #	AMOUNT
		TOTAL AMOUNT APPROPRIATED in CD-68-22 on January 6, 2023				\$ 2,280,047.00
		TOTAL AMOUNT REVERTED in CAP-19-23 on May 5, 2023				-\$ 500,000.00
		TOTAL AMOUNT APPROPRIATED in CAP-19-23 on May 5, 2023				\$ 1,033,662.00
		TOTAL AMOUNT APPROPRIATED in CJY-55-23 on August 4, 2023				\$ 3,453,000.00
Division of Community Development	None Identified	Red Lake Chapter Utility Infrastructure Upgrade Preliminary Engineering Study Project	Department of Water Resources	5.11	HK0616	\$ 150,000.00
Division of Community Development	None Identified	Navajo Townsite Community Development Corporation Project	Division of Community Development	2.23	HK0634	\$ 300,000.00
Division of Community Development	None Identified	Crystal Chapter Solar Lighting Lamp Posts Project	Division of Transportation	2.22	HK0636	\$ 40,000.00
		UN-ALLOCATED AMOUNT				\$ 2,045,631.00
*Per CJN-29-22, Section Three (E), the "Navajo Nation Central Government may award funding to LGA-Certified Chapters through sub-recipient agreements to implement and manage specific projects, but shall maintain Administrative Oversight over such funding and Delegate Region Project Plans."						TOTAL: \$ 8,802,340.00

RESOLUTION OF THE
NAABIK'ÍYÁTI' STANDING COMMITTEE
25th NAVAJO NATION COUNCIL -- First Year, 2023

AN ACTION RELATING TO THE NAABIK'ÍYÁTI' COMMITTEE; AMENDING CJY-55-23 AND CAP-19-23 AND CD-68-22, THE NAVAJO NATION FISCAL RECOVERY FUND DELEGATE REGION PROJECT PLAN FOR HONORABLE ANDY NEZ, PH.D.'S DELEGATE REGION (CHAPTERS: CRYSTAL, FORT DEFIANCE, RED LAKE, SAWMILL), TO INCLUDE ADDITIONAL PROJECTS FOR THIS DELEGATE REGION

BE IT ENACTED:

SECTION ONE. AUTHORITY

- A. The Naabik'íyáti' Committee is established as a standing committee of the Navajo Nation Council. 2 N.N.C. § 700(A).
- B. Navajo Nation Council Resolution No. CJN-29-22, as amended by CAU-74-23, mandates that Fiscal Recovery Fund ("NNFRF") Delegate Region Project Plans be approved by two-thirds (2/3) vote of the Naabik'íyáti' Committee members in attendance.
- C. Navajo Nation Council Resolution No. CD-68-22, included and incorporated herein by reference, mandated that amendments to the Navajo Nation Fiscal Recovery Fund Delegate Region Project Plan for Honorable Andy Nez, Ph.D.'s Delegate Region (Chapters: Crystal, Fort Defiance, Red Lake, Sawmill) be approved by a Navajo Nation Council resolution and signed into law by the President of the Navajo Nation pursuant to 2 N.N.C. § 164(A)(17), and 2 N.N.C. §§ 1005(C)(10), (11), and (12).
- D. Navajo Nation Council Resolution No. CAP-19-23, included and incorporated herein by reference, mandated that amendments to the Navajo Nation Fiscal Recovery Fund Delegate Region Project Plan for Honorable Andy Nez, Ph.D.'s Delegate Region (Chapters: Crystal, Fort Defiance, Red Lake, Sawmill) be approved by a Navajo Nation Council resolution and signed into law by the President of the Navajo Nation pursuant to 2 N.N.C. § 164(A)(17), and 2 N.N.C. §§ 1005(C)(10), (11), and (12).
- E. Navajo Nation Council Resolution No. CJY-55-23, included and incorporated herein by reference, mandated that amendments to the Navajo Nation Fiscal Recovery Fund Delegate Region Project Plan for Honorable Andy Nez, Ph.D.'s Delegate Region (Chapters: Crystal, Fort Defiance, Red Lake, Sawmill) be approved by a Navajo Nation Council resolution and signed into law by the

President of the Navajo Nation pursuant to 2 N.N.C. § 164(A)(17), and 2 N.N.C. §§ 1005(C)(10), (11), and (12).

- F. Navajo Nation Council Resolution No. CAU-74-23, which amended CJN-29-22 and CJY-41-21, included and incorporated herein by reference, delegated the Naabik'íyáti' Committee as the final approval authority for Delegate Region Project Plans funded through Navajo Nation's Fiscal Recovery Funds.

SECTION TWO. FINDINGS

- A. The Navajo Nation Council Resolution No. CJN-29-22, AN ACTION RELATING TO THE NAABIK'ÍYÁTI' COMMITTEE AND NAVAJO NATION COUNCIL; ALLOCATING \$1,070,298,867 OF NAVAJO NATION FISCAL RECOVERY FUNDS; APPROVING THE NAVAJO NATION FISCAL RECOVERY FUND EXPENDITURE PLANS FOR: CHAPTER AND REGIONAL PROJECTS; PUBLIC SAFETY EMERGENCY COMMUNICATIONS, E911, AND RURAL ADDRESSING PROJECTS; CYBER SECURITY; PUBLIC HEALTH PROJECTS; HARDSHIP ASSISTANCE; WATER AND WASTEWATER PROJECTS; BROADBAND PROJECTS; HOME ELECTRICITY CONNECTION AND ELECTRIC CAPACITY PROJECTS; HOUSING PROJECTS AND MANUFACTURED HOUSING FACILITIES; BATHROOM ADDITION PROJECTS; CONSTRUCTION CONTINGENCY FUNDING; AND REDUCED ADMINISTRATIVE FUNDING, was signed into law by the President of the Navajo Nation on July 15, 2022.
- B. CJN-29-22, as amended by CAU-74-23, Section Three, now states, in part and among other things, that
 - 1. The Navajo Nation hereby approves total funding for the NNFRF Chapter and Chapter Projects Expenditure Plan from the Navajo Nation Fiscal Recovery Fund in the total amount of two hundred eleven million two hundred fifty-six thousand one hundred forty-eight dollars (\$211,256,148) to be divided equally between the twenty-four (24) Delegate Regions in the amount of eight million eight hundred two thousand three hundred forty dollars (\$8,802,340) per Delegate Region . . . and allocated through Delegate Region Project Plans approved by two-thirds (2/3) vote of the Naabik'íyáti' Committee members in attendance. . . See CJN-29-22, as amended by CAU-74-23, Section Three (B).
 - 2. The Delegate Region Project Plan funding will be allocated to the Navajo Nation Central Government, specifically the Division of Community Development or other appropriate Navajo Nation Division or Department, to implement the projects rather than directly to the Chapters. See CJN-29-22, Section Three (D).

3. The Navajo Nation Central Government, specifically the Division of Community Development or other appropriate Navajo Nation Division or Department, shall manage and administer funds and Delegate Region Project Plans on behalf of Non-LGA-Certified Chapters. The Navajo Nation Central Government may award funding to LGA-Certified Chapters through sub-recipient agreements to implement and manage specific projects, but shall maintain Administrative Oversight over such funding and Delegate Region Project Plans. See CJN-29-22, Section Three (E).
 4. Each Navajo Nation Council delegate shall select Fiscal Recovery Fund eligible projects within their Delegate Region to be funded by the NNFRF Chapter and Regional Projects Expenditure Plan through a Delegate Region Projects Plan. The total cost of projects selected by each Delegate shall not exceed their Delegate Region distribution of eight million eight hundred two thousand three hundred forty dollars (\$8,802,340). See CJN-29-22, Section Three (F).
 5. Each Delegate Region Project shall identify its Administrative Oversight entity and its Oversight Committee(s) and be subject CJY-41-21's NNDOJ initial eligibility determination. See CJN-29-22, Section Three (L) (5) and (L) (6).
- C. The Navajo Nation Council Resolution No. CD-68-22, AN ACTION RELATING TO THE NAABIK'ÍYÁTI' COMMITTEE AND NAVAJO NATION COUNCIL; APPROVING THE NAVAJO NATION FISCAL RECOVERY FUND DELEGATE REGION PROJECT PLAN FOR HONORABLE WILSON C. STEWART, JR.'S DELEGATE REGION (CHAPTERS: CRYSTAL, FORT DEFIANCE, RED LAKE, SAWMILL), was signed into law by the President of the Navajo Nation on January 6, 2023.
- D. CD-68-22, Section Four, states that:
1. Amendments to this legislation or to the Delegate Region Project Plan approved herein shall only be adopted by resolution of the Navajo Nation Council and approval of the President of the Navajo Nation pursuant to 2 N.N.C. § 164(A) (17) and 2 N.N.C. §§ 1005(C) (10), (11), and (12).
- E. The Navajo Nation Council Resolution No. CAP-19-23, AN ACTION RELATING TO THE NAABIK'ÍYÁTI' COMMITTEE AND NAVAJO NATION COUNCIL; AMENDING CD-68-22 AND THE NAVAJO NATION FISCAL RECOVERY FUND DELEGATE REGION PROJECT PLAN FOR HONORABLE ANDY

NEZ, PH.D.'S DELEGATE REGION (CHAPTERS: CRYSTAL, FORT DEFIANCE, RED LAKE, SAWMILL), was signed into law by the President of the Navajo Nation on May 5, 2023.

F. CAP-19-23, Section Four, states that:

1. Amendments to this legislation or to the Delegate Region Project Plan approved herein shall only be adopted by resolution of the Navajo Nation Council and approval of the President of the Navajo Nation pursuant to 2 N.N.C. § 164(A)(17) and 2 N.N.C. §§ 1005(C)(10), (11), and (12).

G. The Navajo Nation Council Resolution No. CJY-55-23, AN ACTION RELATING TO THE NAABIK'ÍYÁTI' COMMITTEE AND NAVAJO NATION COUNCIL; AMENDING CAP-19-23 AND CD-68-22, THE NAVAJO NATION FISCAL RECOVERY FUND DELEGATE REGION PROJECT PLAN FOR HONORABLE ANDY NEZ, PH.D.'S DELEGATE REGION (CHAPTERS: CRYSTAL, FORT DEFIANCE, RED LAKE, SAWMILL), TO INCLUDE ADDITIONAL PROJECTS FOR THIS DELEGATE REGION was signed into law by the President of the Navajo Nation on August 4, 2023.

H. CJY-55-23, Section Four, states that:

1. Amendments to this legislation or to the Delegate Region Project Plan approved herein shall only be adopted by resolution of the Navajo Nation Council and approval of the President of the Navajo Nation pursuant to 2 N.N.C. § 16(A)(17) and 2 N.N.C. §§ 1005(C)(10), (11), and (12).

I. The Navajo Nation Council Resolution No. CAU-74-23, AN ACTION RELATING TO THE NAABIK'ÍYÁTI' COMMITTEE AND NAVAJO NATION COUNCIL; AMENDING COUNCIL RESOLUTIONS CJY-41-21 AND CJN-29-22; DELEGATING THE NAABIK'ÍYÁTI' COMMITTEE AS THE FINAL APPROVAL AUTHORITY FOR DELEGATE REGION PROJECT PLANS FUNDED THROUGH THE NAVAJO NATION'S FISCAL RECOVERY FUNDS was signed into law by the President of the Navajo Nation on September 6, 2023.

J. CJN-29-22, as amended by CAU-74-23, Section Three, now states, in part and among other things, that

1. The Navajo Nation hereby approves total funding for the NNFRF Chapter and Chapter Projects Expenditure Plan from the Navajo Nation Fiscal Recovery Fund in the total amount of two hundred eleven million two hundred fifty-six thousand one hundred forty-eight dollars (\$211,256,148) to be divided equally between the twenty-four (24) Delegate Regions in the amount of eight million eight hundred two thousand three hundred forty dollars (\$8,802,340) per

Delegate Region . . . and allocated through Delegate Region Project Plans approved by two-thirds (2/3) vote of the Naabik'iyáti' Committee members in attendance. . . . See CJN-29-22, as amended by CAU-74-23, Section Three (B).

- K. All additional projects listed in the Hon. Andy Nez, Ph.D.'s Delegate Region Projects Plan, attached as Exhibit A, have been deemed Fiscal Recovery Fund eligible by NNDOJ. In addition, Hon. Andy Nez, Ph.D.'s Delegate Region Projects Plan does not exceed the amount of \$8,802,340, as set forth in CJN-29-22, Section Three (F).
- L. The Navajo Nation Council hereby finds that it is in the best interest of the Navajo Nation and the Hon. Andy Nez, Ph.D.'s Delegate Region Chapters and communities to approve and adopt the additional projects as part of the Navajo Nation Fiscal Recovery Fund Delegate Region Project Plan for Hon. Andy Nez, Ph.D.'s Delegate Region (Chapters: Crystal, Fort Defiance, Red Lake, Sawmill) as set forth in Exhibit A.

SECTION THREE. AMENDING CJY-55-23 AND CAP-19-23 AND CD-61-22, THE NAVAJO NATION FISCAL RECOVERY FUND DELEGATE REGION PROJECT PLAN FOR HONORABLE ANDY NEZ, PH.D.'S DELEGATE REGION (CHAPTERS: CRYSTAL, FORT DEFIANCE, RED LAKE, SAWMILL), TO INCLUDE ADDITIONAL PROJECTS FOR THIS DELEGATE REGION

The Navajo Nation hereby approves the additional projects as part of the Navajo Nation Fiscal Recovery Fund Delegate Region Project Plan for Hon. Andy Nez, Ph.D.'s Delegate Region (Chapters: Crystal, Fort Defiance, Red Lake, Sawmill) set forth in Exhibit A.

- A. The Delegate Region Project Plan approved herein shall comply with all applicable provisions of CJY-41-21, CJN-29-22, and BFS-31-21.
- B. Any inconsistencies between this legislation, the Delegate Region Project Plan, and the individual project appendix, shall be resolved in favor of the project appendix reviewed by Department of Justice during their eligibility determination(s).

SECTION FOUR. AMENDMENTS

Amendments to this legislation or to the Delegate Region Project Plan approved herein shall only be adopted and approved by resolution of the Naabik'iyáti' Committee.

SECTION FIVE. EFFECTIVE DATE

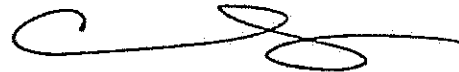
This legislation shall be effective upon its approval pursuant to two-thirds (2/3) vote of the Naabik'iyáti' Committee members in attendance.

SECTION SIX. SAVING CLAUSE

If any provision of this legislation is determined invalid by the Navajo Nation Supreme Court, or by a Navajo Nation District Court without appeal to the Navajo Nation Supreme Court, those provisions of this legislation not determined invalid shall remain the law of the Navajo Nation.

CERTIFICATION

I, hereby certify that the foregoing resolution was duly considered by the Naabik'iyáti' Committee of the 25th Navajo Nation Council at a duly called meeting in Window Rock, Navajo Nation (Arizona), at which a quorum was present and that the same was passed by a vote of 19 in Favor, and 00 Opposed, on this 12th day of October 2023.



Honorable Crystalyne Curley, Chairwoman
Naabik'iyáti' Committee

10/17/2023

Date

Motion: Honorable Vince R. James

Second: Honorable Curtis Yanito

Chairwoman Crystalyne Curley not voting



THE NAVAJO NATION
AAI CHECK OFF LIST
FOR NEW COMPANY or BUSINESS UNIT

NOTIFICATION ON NEW COMPANY AND BUSINESS UNIT ADDED, PLEASE COMPLETE AAI CHECK OFF LIST

Company	*New Business Unit	Description	*Facility/Job Site#
8059	K2115438	US Treasury – Red Lake Chapter Utility Infrs. Upgrade Project	55261
ENTERED BY (Step A to F) :		EXPLANATION/REFERENCE NO:	DATE:
Germaine Jones		Setup New Business Unit	12/20/2023

TASK	✓	TASK DESCRIPTION	APPLIC	TASK EXPLANATION
A. Create New Fund		1. Copy Address Book Record – “O” Search Type (Company ST)	P01012	Use “COPY” of similar Address Book. Complete vital fields: 1) Enter Tax ID 860092335, 2) Related Address tab-5 th Address Number field enter “10” (Gen Fund)
		2. Add Fund/Company (CO)	P0010	Use “ADD” in CO Names & Numbers-Setup, use CO 10 info, *CO Number. & *CO Address number are identical.
B. Create Balance Sheet Business Unit		Create Balance Sheet BU by Copying	P0006	Use “COPY” of similar Balance Sheet (BS) Business Unit
C. Attach Objects to Balance Sheet BU		1. Operating	P09804	Copy from Model “1” Bus Unit to BS Business Unit (Object range 0100 thru 0999 only)
D. Create New Business Unit *:		1. a) Operating BU (or)	P0006	Use “COPY” of similar Business Unit.
		3. a) Operating BU-Create AB Facility	P0006	In BU Master “More” tab-Address Number (Job Site) field-Visual Assist search type “F” (facility), select AB#
	✓	1. b) Project BU (Job)	P51006	Use “COPY” of similar Project BU
	✓	2. Project Job Dates	P51006	Create extended master, set job dates
	✓	3. b) Project BU-Create AB Facility	P51006	In Job Master “More” tab-Address Number (Job Site) field- Visual Assist search type “F” (facility), select AB#
E. Attach Objects to Business Unit		1. a) Operating (or)	P09804	“Copy” from model BU 2 to IS BU (Obj 1000 thru 9999)
	✓	1. b) Projects BU (Job)	P51091	“Copy” from Job Cost Code “CG1” Chart Type to Job #
F. Create ICCC AAI (New CO only)		1. Operating BU (or)	P0012	“Copy” similar Company “ICCC” AAI item no. Change BU and CO Fields only (must be identical)
		2. Project BU		
SET-UP VERIFIED BY:			DATE:	COMMENTS/ACTION:
			12/21/23	Verified new BU#.

AB
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AUTOMATIC ACCOUNTING INSTRUCTIONS (Forward to responsible section/module immediately)					
MODULE	ITEM#	AAI DESCRIPTION	APPLIC	INITIAL	DATE
GEN ACCT'G	ICCC	Intercompany Settlements	P0012	New Company Only	
ACCOUNTS PAYABLE	PB	Default Bank Account (10.0120.02)	P0012	New Company Only	
	PC	Payable Class Code	P0012	New Company Only	
	PCPREP	Prepaid Voucher Offset	P0012	New Company Only	
	PCRETN	Retainage (Contract)	P0012	New Company Only	
	PKD	Discounts Available	P0012	No Discounts	
	PKL	Discounts Lost	P0012	No Discounts	
FIXED ASSETS	DEPN	*Depreciation Default Values	P12002	New BU's	
PURCHASING	4320	Received Not Vouchered	P40950	New Company Only	
		*Setup Hold Codes for each new BU(B1)	P42090	New BU's	
		*Review Hold Budget Review Hold (RW)	P42090	New BU's	
		Set default A/B for each BU		New Company Only	
		Add Purchasing Tolerance rules	P4322	New Company Only	
		*Set-up Branch/Plant Constant & Set-up Address Number	P41001	New BU's	
SECURITY	Not yet	BU Security		Not Applicable	

RETURN COMPLETED FORM TO ISSUING DEPARTMENT

****NEW BUDGET****

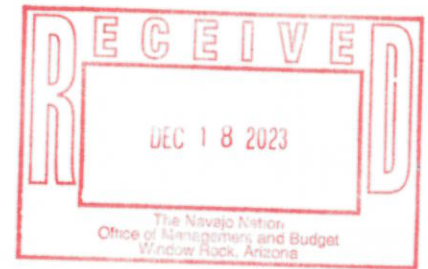
Job K2115438 US TREASURY-RED LAKE UTIL UPGR

Project

Cost Code	Cost Type	Description	L P M D E C U M	Original Budget Amt	Revised Budget Amt	Actual Amount	Open Commit Amount	Budget Balance	% Revised Spent	% Revised Remaining
	1710	Program Revenue	6 B N	150,000.00-	150,000.00-			150,000.00-		1.00
	1710	Program Revenue	6 T	150,000.00-	150,000.00-			150,000.00-		1.00
	1705	CG Revenue	5 T	150,000.00-	150,000.00-			150,000.00-		1.00
	1700	External C/G Revenue Sourc	4 T	150,000.00-	150,000.00-			150,000.00-		1.00
	1000	Revenues	3 T	150,000.00-	150,000.00-			150,000.00-		1.00
	6520	Consulting	6 B N	150,000.00	150,000.00			150,000.00		1.00
	6520	Consulting	6 T	150,000.00	150,000.00			150,000.00		1.00
	6510	Professional Services	5 T	150,000.00	150,000.00			150,000.00		1.00
	6500	Contractual Services	4 T	150,000.00	150,000.00			150,000.00		1.00
	2000	Expenses	3 T	150,000.00	150,000.00			150,000.00		1.00



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL



ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: HK 634

Date & Time Received: 8/1/2023 at 12:32

Date & Time of Response: 8/22/2023 at 17:00

Entity Requesting FRF: Navajo Townsite Community Development Corporation

Title of Project: Removal of Burned/Blighted NTCDC Housing Units (Phase I) in Navajo, NM

Administrative Oversight: Division of Community Development

Amount of Funding Requested: \$300,000

Eligibility Determination:

- ☒ FRF eligible
☐ FRF ineligible
☐ Additional information requested

FRF Eligibility Category:

- ☒ (1) Public Health and Economic Impact
☐ (2) Premium Pay
☐ (3) Government Services/Lost Revenue
☐ (4) Water, Sewer, Broadband Infrastructure

U.S. Department of Treasury Reporting Expenditure Category: 2.23 Strong Healthy Communities: Demolition and Rehabilitation

<input type="checkbox"/> Missing Form	<input type="checkbox"/> Expenditure Plan incomplete
<input type="checkbox"/> Supporting documentation missing	<input type="checkbox"/> Funds will not be obligated by 12/31/2024
<input type="checkbox"/> Project will not be completed by 12/31/2026	<input type="checkbox"/> Incorrect Signatory
<input type="checkbox"/> Ineligible purpose	<input type="checkbox"/> Inconsistent with applicable NN or federal laws
<input type="checkbox"/> Submitter failed to timely submit CARES reports	
<input type="checkbox"/> Additional information submitted is insufficient to make a proper determination	

Other Comments:

Name of DOJ Reviewer: MacArthur Stant

Signature of DOJ Reviewer: _____

If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. **Please email your resubmission to arpa@nndof.org.** Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

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THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR EXTERNAL ENTITIES

Part 1. Identification of parties.

External Entity requesting FRF: Navajo Townsite Community Development Corporation Date prepared: 06/07/2023
External Entity's P.O. Box 876 phone/fax: (505)777-2245
mailing address: Navajo, New Mexico 87328 website (if any): www.navajotownsite.org
This Form prepared by: Prestene Garnenez phone/fax: (505)777-2245
Executive Director pgarnenez@navajotownsite.org
CONTACT PERSON'S name and title: PRESTENE GARNENEZ

Title and type of Project: Removal of Burned/Blighted NTCDC Housing Units (Phase I) in Navajo, NM

External Entity's: Prestene Garnenez state & email: (505)777-2245
CEO (or equivalent)
Board President: Jonathan Hale phone & email: (928)255-3909
Board Treasurer or Financial Officer: Anthon, Bellelete phone & email: (505)777-2245

Funding Recipient will be working with Division of Community/Economic Development on the Project
and same will be submitting the Funding Request Package for Review and will have Administrative Oversight over this FRF Expenditure Plan

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): Contractors with certifications to inspect and abate asbestos and lead and perform demolition and proper disposal. ☒ should not add this

Amount of FRF requested: \$300,000.00 FRF funding period: July 2023 through June 30, 2026

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the locations, the services and what COVID-related needs will be addressed:

NTCDC manages for the Navajo Nation 115 housing units, of which 50+, are in various states of decay and destruction. We request for funds to help remove some of these blighted units with priority to units that are burned or partially burned. Other blighted units (those with significant safety issues) will be prioritized to abate/demolish. These units are more than 60 years old, where of those test, many have asbestos containing material or lead which requires proper abatement and disposal. Upon removal the land will be utilized to rebuild new modern, efficient and sustainable housing for the community of Navajo, NM. ☐ document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People.
Many tribal members are in need of homes (more than 30,000 homes are needed) with safe access to water and sanitation. Further the current vacant and vandalized units are an environmental and health hazard to the local community, as they are built with ACM which could potentially be released into the air, water, and soil as the buildings continue to deteriorate or are set ablaze by arsonists or accidentally. The blighted units contribute to the overall depressing state of Navajo, NM. ☐ document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Describe any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the Program(s) or Project(s) by December 31, 2026.

APPENDIX A

Upon funding, NTCDC expects the following timeline:

1) Advertise RFS from qualified certified contractors (July 2023); 2) Select contractors to conduct work estimate to 4-5 months (October 2023-February 2024); 3) Reporting & Closeout (March-June 2024)

(d) Identify who will be responsible for implementing the Program or Project

Navajo Townsite Community Development Corporation
Prestene Gamenez, Executive Director

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively.

Navajo Townsite Community Development Corporation will provide operations and maintenance of new units and maintenance of the vacant units for redevelopment. Future O&M costs will be covered by revenue generated from the rental properties once rebuild has been completed.

(f) State which of the 65 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix A listing the proposed Program or Project falls under, and explain the reason why.

3.10 Housing Support: Affordable Housing

Part 3. Additional documents.

List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A)

Budget

NTCDC W-9

NTCDC IRS Tax Exemption Letter

NTCDC Board Resolution

Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJN 41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies.

External Entity's
Preparer

Approved by

Approved by

Approved to submit
for Review

OR Approved to submit
for Review

FY 2023

**THE NAVAJO NATION
PROGRAM BUDGET SUMMARY**

Page of
BUDGET FORM 1

PART I. Business Unit No.: <u>12W</u>		Program Title: <u>Navajo Townsite Community Development Corporation</u>		Division/Branch: <u> </u>	
Prepared By: <u>Prestene Gamenez</u>		Phone No.: <u>(505)777-2245</u>		Email Address: <u>pgamenez@navajotownsite.org</u>	

PART II. FUNDING SOURCE(S)	Fiscal Year / Term	Amount	% of Total	PART III. BUDGET SUMMARY	Fund Type Code	(A) NHC Approved Original Budget	(B) Proposed Budget	(C) Difference or Total
APPA Fiscal Recovery Funds 7/1/22-6/30/24		300,000.00	100%	2001 Personnel Expenses				
				3000 Travel Expenses				
				3500 Meeting Expenses				
				4000 Supplies				
				5000 Lease and Rental				
				5500 Communications and Utilities				
				6000 Repairs and Maintenance				
				6500 Contractual Services	(B)	0	300,000	300,000 Att
				7000 Special Transactions				
				8000 Public Assistance				
				8000 Capital Outlay				
				9500 Matching Funds				
				9500 Indirect Cost				
				TOTAL		0	300,000.00 ✓	300,000.00 Att

PART IV. POSITIONS AND VEHICLES		(D)	(E)	
Total # of Positions Budgeted:		0	0	Att
Total # of Vehicles Budgeted:		0	0	Att

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

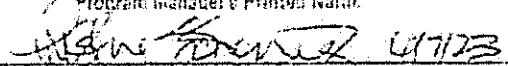
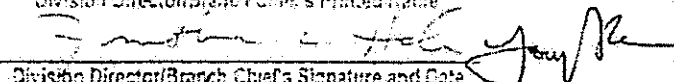
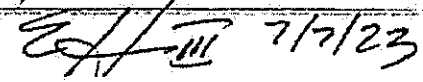
SUBMITTED BY: <u>Prestene Gamenez, Executive Director, NTODC</u>	APPROVED BY: <u>Jonathan Hale, Board Chairman, NTODC</u>
Program Manager's Printed Name	Division Director / Branch Chief's Printed Name
<u>Prestene Gamenez</u> 6/17/23	<u>Jonathan Hale</u> 7/7/2023
Program Manager's Signature and Date	Division Director / Branch Chief's Signature and Date

Revised 12/20/23 EX-111 7/7/2023

FY 2023

**THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIA**

Page of
BUDGET FORM 2

PART I. PROGRAM INFORMATION:										
Business Unit No.: <u>New</u>			Program Name/Title: <u>Navajo Townsite Community Development Corporation</u>							
PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:										
The mission of Navajo Townsite Community Development Corporation (NTCDC) is to provide safe, affordable, quality homes to the community of Navajo, NM (Red Lake #18 Chapter)										
PART III. PROGRAM PERFORMANCE CRITERIA:			1st QTR		2nd QTR		3rd QTR		4th QTR	
			Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
1. Goal Statement: NTCDC plans to abate/demolish burned & blighted units for redevelopment										
Program Performance Measure/Objective: A total of 12 burned/blighted units will be abated/demolished			x	x						
2. Goal Statement: NTCDC plans to abate/demolish approx. 10 blighted units for redevelopment										
Program Performance Measure/Objective: A total of 10-12 additional units will be abated/demolished by the end of QTR 2 in FY 2024					x	x				
3. Goal Statement:										
Program Performance Measure/Objective:										
4. Goal Statement:										
Program Performance Measure/Objective:										
5. Goal Statement:										
Program Performance Measure/Objective:										
PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.										
Prestene Garmez, Executive Director, NTCDC Program Manager's Printed Name  Program Manager's Signature and Date						Jonathan Harg, Branch Chief, NTCDC Division Director/Branch Chief's Printed Name  Division Director/Branch Chief's Signature and Date  7/7/23				

FY 2023 Att

THE NAVAJO NATION DETAILED BUDGET AND JUSTIFICATION

Page ____ of ____
BUDGET FORM 4

[illegible]

for Mr. Miria Hale

Page ____ of ____
PROJECT FORM

FOR CNO USE ONLY.

**RESOLUTION OF THE BOARD OF DIRECTORS
OF
THE NAVAJO TOWNSITE COMMUNITY DEVELOPMENT CORPORATION**

**Supporting and Approving Navajo Townsite Community Development Corporation's
Request for Navajo Nation Fiscal Recovery Funds Under the American Rescue Plan Act of
2021 in the Amount of \$300,000 for the Removal of Blighted, Vacant and Condemned
Public Rental Units Managed for the Navajo Nation by Navajo Townsite Community
Development Corporation**

WHEREAS:

1. The Navajo Townsite Community Development Corporation (NTCDC) is a duly chartered non-profit community development organization incorporated on January 24, 1989, under the laws of the Navajo Nation of which the NTCDC Board of Directors is empowered to regulate the NTCDC operational affairs and to provide for the administrative and financial well-being of the NTCDC program; and
2. The Board of Directors of the NTCDC acknowledges that NTCDC, by authority of the Management Agreement, manages 118 public rental units currently owned by the Navajo Nation; and
3. The Board of Directors of NTCDC recognizes that 53 of those 118 public rental units have been vacant, have fallen into severe disrepair, condemned, vandalized, or burned; and
4. The Board of Directors of NTCDC recognizes that such physical blight can invite more crime like burglary, home invasions, arson, assaults, the manufacture and sales of illegal substances, and other illegal activities; and
5. NTCDC recognizes and acknowledges the community of Navajo, New Mexico which lies within Red Lake #18 Chapter currently experiences overall community deterioration that contributes to job losses, closure of small businesses, and abandonment of other facilities; and
6. The Red Lake #18 Chapter pursuant to chapter resolution R1 C-05-21-23 approved to support the NTCDC in seeking funding to remove the blighted, vacant, and condemned houses it manages within the community of Navajo, New Mexico; and
7. NTCDC seeks support from the Navajo Nation to assess, abate, and demolish the approximately 20 of the 53 condemned/burned units with Phase I: Demolition of burned units, demolition of units up to the amount of \$300,000.00 which will make way for new developments including new housing, economic and community development, and infrastructure projects.
8. The American Rescue Plan Act of 2021 was approved by the United States Congress on March 10, 2021, and signed into law by the President of the United States, Joseph Biden, on March 11, 2021, in the amount of \$1,900,000,000,000.00 (\$1.9 trillion); and

9. The Navajo Nation is receiving \$1,800,000,000.00 (\$1.8 billion) of funding through the American Rescue Plan Act of 2021; and
10. The Fiscal Recovery Funds are to be used for the response to the "COVID-19 public health emergency ... including assistance to households, small businesses, and non-profit" per Exhibit 5 of Navajo Nation Fiscal Recovery Fund Application Procedures, Forms, and Expenditure Plan; and
11. Honorable Council Delegate, Dr. Andy Nez, represents the Fort Defiance, Red Lake #18, Sawmill and Crystal Chapters, where the community of Navajo lies within Red Lake #18 Chapter boundaries and within Honorable Delegate Dr. Nez's representative area; and
12. Honorable Council Delegate Dr. Nez has received several funding requests through the Navajo Nation Fiscal Recovery Funds Under the American Rescue Plan Act of 2021 and to whom NTCDC submitted their proposal for funding to benefit the community of Navajo, New Mexico (pop.2,000+).

NOW THEREFORE BE IT RESOLVED THAT:


1. The Board of Directors of the NTCDC re-approves the Resolution NTCDC 23-06 and supporting and approving a request for Navajo Nation Fiscal Recovery Funds Under the American Rescue Plan Act of 2021 (i) requesting the funding in the amount of \$300,000; (ii) assess, abate, and demolish the approximately 20 of the 53 condemned burned units with Phase I: Demolition of burned units, demolition of units to the amount of \$300,000.00; (iii) NTCDC affirms that awarded funds will be used in compliance with ARP A, the ARP A Regulations, and all other applicable Navajo Nation and federal laws and regulation; and
2. The Board of Directors of the NTCDC hereby requests the Navajo Nation Administrative Oversight provide technical assistance in the requirements needed in requesting for Navajo Nation Fiscal Recovery Funds Under the American Rescue Plan Act of 2021; and
3. The Board of Directors authorizes the NTCDC Executive Director to work with representatives of the Navajo Nation to execute the purpose and intent of this Resolution NTCDC 23-06.

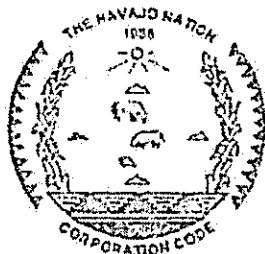
C E R T I F I C A T I O N

I hereby certify that the foregoing Resolution NTCDC 23-06 was duly considered by the Board of Directors of NTCDC at its duly called meeting in Navajo, New Mexico at which a quorum was present and that same was passed by a vote of 14 in favor, 0 opposed and 1 abstained, this 15th day of June 2023.

Motioned by: Joan Todecheenie

Second by: Willie Tracy, Jr.


Jonathan Hale, Chairman
Board of Directors of NTCDC



NAVAJO NATION CORPORATION CODE

CERTIFICATE OF GOOD STANDING

To all to Whom these Presents Shall Come, Greetings:

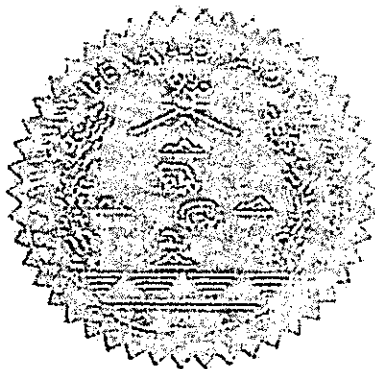
I, the Director of the Business Regulatory Department, DO HEREBY CERTIFY
that

NAVAJO TOWNSITE COMMUNITY DEVELOPMENT CORPORATION

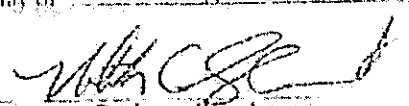
File Number: 100055

a Corporation organized under the laws of the Navajo Nation Corporation Act, did
incorporate on January 24th, 1989

I FURTHER CERTIFY that this corporation has filed all affidavits and annual
reports and has paid all annual filing fees required to date and, therefore, is in good
standing within the Navajo Nation.



IN WITNESS WHEREOF, I have hereunto set
my hand and affixed the official seal of the
Navajo Nation Corporation Code. Done at
Window Rock, Arizona, the Capital of the
Navajo Nation, this 24th
day of February, 2023 A.D.


Director, Business Regulatory
Division of Economic Development
Notah C. Silversmith



THE NAVAJO NATION
AAI CHECK OFF LIST
FOR NEW COMPANY or BUSINESS UNIT

NOTIFICATION ON NEW COMPANY AND BUSINESS UNIT ADDED, PLEASE COMPLETE AAI CHECK OFF LIST

Company	*New Business Unit	Description	*Facility/Job Site#
8059	K2115440	US Treasury – Crystal Chapter Solar Lighting Lamp Post Project	55261
ENTERED BY (Step A to F) :		EXPLANATION/REFERENCE NO:	DATE:
Germaine Jones		Setup New Business Unit	12/20/2023

TASK	✓	TASK DESCRIPTION	APPLIC	TASK EXPLANATION
A. Create New Fund		1. Copy Address Book Record – “O” Search Type (Company ST)	P01012	Use “COPY” of similar Address Book. Complete vital fields: 1) Enter Tax ID 860092335, 2) Related Address tab-5 th Address Number field enter “10” (Gen Fund)
		2. Add Fund/Company (CO)	P0010	Use “ADD” in CO Names & Numbers-Setup, use CO 10 info, *CO Number. & *CO Address number are identical.
B. Create Balance Sheet Business Unit		Create Balance Sheet BU by Copying	P0006	Use “COPY” of similar Balance Sheet (BS) Business Unit
C. Attach Objects to Balance Sheet BU		1. Operating	P09804	Copy from Model “1” Bus Unit to BS Business Unit (Object range 0100 thru 0999 only)
D. Create New Business Unit *:		1. a) Operating BU (or)	P0006	Use “COPY” of similar Business Unit.
		3. a) Operating BU- Create AB Facility	P0006	In BU Master “More” tab-Address Number (Job Site) field-Visual Assist search type “F” (facility), select AB#
	✓	1. b) Project BU (Job)	P51006	Use “COPY” of similar Project BU
	✓	2. Project Job Dates	P51006	Create extended master, set job dates
	✓	3. b) Project BU-Create AB Facility	P51006	In Job Master “More” tab-Address Number (Job Site) field- Visual Assist search type “F” (facility), select AB#
E. Attach Objects to Business Unit		1. a) Operating (or)	P09804	“Copy” from model BU 2 to IS BU (Obj 1000 thru 9999)
	✓	* 1. b) Projects BU (Job)	P51091	“Copy” from Job Cost Code “CG1” Chart Type to Job #
F. Create ICCC AAI (New CO only)		1. Operating BU (or)	P0012	“Copy” similar Company “ICCC” AAI item no. Change BU and CO Fields only (must be identical)
		2. Project BU		
SET-UP VERIFIED BY:			DATE:	COMMENTS/ACTION:
			12/21/23	Verified new BU#.

AUTOMATIC ACCOUNTING INSTRUCTIONS
 (Forward to responsible section/module immediately)

MODULE	ITEM#	AAI DESCRIPTION	APPLIC	INITIAL	DATE
GEN ACCT'G	ICCC	Intercompany Settlements	P0012	New Company Only	
ACCOUNTS PAYABLE	PB	Default Bank Account (10.0120.02)	P0012	New Company Only	
	PC	Payable Class Code	P0012	New Company Only	
	PCPREP	Prepaid Voucher Offset	P0012	New Company Only	
	PCRETN	Retainage (Contract)	P0012	New Company Only	
	PKD	Discounts Available	P0012	No Discounts	
	PKL	Discounts Lost	P0012	No Discounts	
FIXED ASSETS	DEPN	*Depreciation Default Values	P12002	New BU's	
PURCHASING	4320	Received Not Vouchered	P40950	New Company Only	
		*Setup Hold Codes for each new BU(B1)	P42090	New BU's	
		*Review Hold Budget Review Hold (RW)	P42090	New BU's	
		Set default A/B for each BU		New Company Only	
		Add Purchasing Tolerance rules	P4322	New Company Only	
		*Set-up Branch/Plant Constant & Set-up Address Number	P41001	New BU's	
SECURITY	Not yet	BU Security		Not Applicable	

RETURN COMPLETED FORM TO ISSUING DEPARTMENT

****NEW BUDGET****

Job Status Inquiry Print

Page - 1

Job K2115440 US TREASURY-CRYSTAL SOLAR LGHT

Thru Date 12/20/2023

Project

Cost Code	Cost Type	Description	L P M DE C UM	Original Budget Amt	Revised Budget Amt	Actual Amount	Open Commit Amount	Budget Balance	% Revised Spent	% Revised Remaining
1710		Program Revenue	6 B N	40,000.00-	40,000.00-			40,000.00-		1.00
1710		Program Revenue	6 T	40,000.00-	40,000.00-			40,000.00-		1.00
1705		CG Revenue	5 T	40,000.00-	40,000.00-			40,000.00-		1.00
1700		External C/G Revenue Sourc	4 T	40,000.00-	40,000.00-			40,000.00-		1.00
1000		Revenues	3 T	40,000.00-	40,000.00-			40,000.00-		1.00
6960		Subcontracted Services	6 B N	40,000.00	40,000.00			40,000.00		1.00
6960		Subcontracted Services	6 T	40,000.00	40,000.00			40,000.00		1.00
6950		Subcontracted Services	5 T	40,000.00	40,000.00			40,000.00		1.00
6500		Contractual Services	4 T	40,000.00	40,000.00			40,000.00		1.00
2000		Expenses	3 T	40,000.00	40,000.00			40,000.00		1.00