



April 18, 2023

TO : Calvin Castillo, Executive Director
Division of Community Development (DCD)

FROM : CSH
Cordell Shortey, Contracting Officer
Contracts & Grants Section (CGS) / OMB

I. Information on Contract (per Original Award):

Sawmill Chapter House & Ft. Defiance Chapter House		U.S. Treasury American Recovery Plan Act (ARPA)		21.027
Title of Contract		Funding Agency		CFDA No. - Federal
CD-68-22	\$ 8,802,340.00	2022	03/11/2021 to 12/31/2026	
Grant No.	Amount	Fiscal Year	Term - Begin and End Date	

☐ New Contract or Grant Company No. 8059 Business Unit (K#) K2115121 & K2115122

☒ Contract Mod No. _____ Internal NN Mod. No. 1

☒ Amt of Budget Increase \$1,000,000.00 \$1,280,047.00 to \$2,280,047.00

AMOUNT FROM TO

☐ Budget Period - Extend End Date: From _____ To _____

☐ Other, specify: _____

☐ Contract / Agreement - Date executed _____

☐ NNC / Committee Resolution - No. & Date _____

☒ Other, specify: Budget on projects / activities for Sawmill Chapter submitted by NN FRF Office.

This Transmittal documents 2nd budgets entered in FMIS on \$8.2 mil ARPA funds allocated to CD Dr. Andy Nez Region. As shown in Sec. II above \$1 mil was entered which is based on corrected budget received from NN FRF Office on April 10, 2023. \$1.2 mil was previously entered and documented by Transmittal of March 22, 2023. Y-T-D budget in FMIS for Nez Region is \$2,280,047. Information on Section I. of Transmittal above reflects \$8,802,340 ARPA funding allocated for distribution to Chapters the respective Council Delegate serve aka Region.

Copy: Contract files
Contract Accounting / OOC / DPM
Tom Platero, Executive Director - NN FRF Office

Post Office Box 7440 • Window Rock, Arizona 86515 • Phone: (928) 871-7100 • Fax: (928) 871-4025

**Checklist of Approved Documents in Resolution Approving Chapter/Regional Expenditure Plan
(Ready for BU Setup)**

As of February 10, 2023

Description of CD Project	Approved NN Council Signed Resolution	Approved DOJ IED	Appendix A w/ Signatures	Budget Forms 1,2,4	Program Budget Summary	Approved Chapter Resolution	Amount	Notes	Ready for OMB
D. CD-68-22: Council Delegate Andy Nez									
K2115121 1. Sawmill Chp Renovation Phase III	X	X	X	X	X	X	\$ 500,000.00	New DCD Exc Director signed budgets	12. X
K2115122 2. Sawmill Chp Bathroom Additions	X	X	X	X	X	X	\$ 500,000.00	New DCD Exc Director signed budgets	13. X
3. Sawmill Chp Sandstone Housing Corporation Chinle/Ft. Defiance Alcohol and Substance	X	X	X	Only forms 1 & 2	X	X	\$ 750,311.00	Missing budget form 4.	
4. Abuse Recovery Center - Day At A Time Club,	X	X	X	*	*	X	\$ 529,736.00	Ther are no budget forms attached. Copies are very faded.	
Total:							\$ 2,280,047.00		

NNDOJ
Initial Eligibility
Determination



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

DOREEN N. MCPAUL
Attorney General

KIMBERLY A. DUTCHER
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS



RFS/HK Review #: HK0260

Date & Time Received: 12/05/22 10:32

Date & Time of Response: 12/07/22 5:00 PM

Entity Requesting FRF: Sawmill Chapter

Title of Project: Bathroom Additions

Administrative Oversight: Division of Community Development

Amount of Funding Requested: \$500,000

Eligibility Determination:

- ☒ FRF eligible
☐ FRF ineligible
☐ Additional information requested

FRF Eligibility Category:

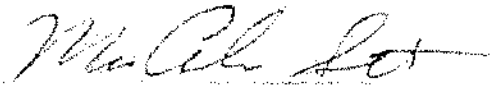
- | | |
|---|---|
| <input checked="" type="checkbox"/> (1) Public Health and Economic Impact | <input type="checkbox"/> (2) Premium Pay |
| <input type="checkbox"/> (3) Government Services/Lost Revenue | <input type="checkbox"/> (4) Water, Sewer, Broadband Infrastructure |

U.S. Department of Treasury Reporting Expenditure Category: 1:14 (Other Public Health Services)

Returned for the following reasons (Ineligibility Reasons / Paragraphs 5. E. (1) - (10) of FRF Procedures):

- | | |
|--|--|
| <input type="checkbox"/> Missing Form | <input type="checkbox"/> Expenditure Plan incomplete |
| <input type="checkbox"/> Supporting documentation missing | <input type="checkbox"/> Funds will not be obligated by 12/31/2024 |
| <input type="checkbox"/> Project will not be completed by 12/31/2026 | <input type="checkbox"/> Incorrect Signatory |
| <input type="checkbox"/> Ineligible purpose | <input type="checkbox"/> Inconsistent with applicable NN or federal laws |
| <input type="checkbox"/> Submitter failed to timely submit CARES reports | |
| <input type="checkbox"/> Additional information submitted is insufficient to make a proper determination | |

Name of DOJ Reviewer: **MacArthur Stant**

Signature of DOJ Reviewer: 

Disclaimers: An NNDOJ Initial Eligibility Determination will be based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

Appendix A
Per
BFS-31-21

THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR NON-GOVERNANCE CERTIFIED CHAPTERS

Part 1. Identification of parties.

Non-Governance Certified Chapter requesting FRF: **Sawmill Chapter**

Date prepared: **9/20/2022**

Chapter's P.O Box 1786
mailing address: **Fort Defiance, AZ 86504**

phone/email: **928-729-4433**
website (if any): **sawmill@navajochapters.org**

This Form prepared by: **Jammie Billiman**
Community Service Coordinator
CONTACT PERSON'S name and title

phone/email: **928-729-4432**
sawmill@navajochapters.org
CONTACT PERSON'S info

Title and type of Project: **Bathroom Additions**

Chapter President: **Woodie Bennett** phone & email: **928-729-4433/wbennett@navajochapters.org**

Chapter Vice-President: **Lewis Shirley** phone & email: **928-729-4433/lshirley@navajochapters.org**

Chapter Secretary: **Shawn Livingston** phone & email: **928-729-4433/slivingston@navajochapters.org**

Chapter Treasurer: **Shawn Livingston** phone & email: **928-729-4433/slivingston@navajochapters.org**

Chapter Manager or CSC: **Jammie Billiman** phone & email: **928-729-4432/jbilliman@nnchapters.org**

DCD/Chapter ASO: **Derek Echohawk, ASC Fort Defiance Agency** phone & email: **928-871-7515/ddechohawk@nndcd.org**

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): **Navajo Contractors/Sub-Contractors**

☐ document attached

Amount of FRF requested: **\$500,000.00** FRF funding period: **January 2022 - December 2026**

Indicate Project starting and ending/deadline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

To construct 10 scattered bathroom additions in the white clay and sawmill area. Also including appropriate fixtures, in existing residential homes located in the White Clay and Sawmill area. Structure range from hogans to conventional homes to mobile homes. Assessment of white clay area homes completed. The chapter will follow Navajo Nation Procurement Laws.

☐ document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

The bathroom additions are needed to meet basic safety and health standards for the local residents. Pre-existing social vulnerabilities magnified by the pandemic where homes with no bathroom facilities and no water amplified the risk for infection.

☐ document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the

APPENDIX A

Program(s) or Project(s) by December 31, 2026:

The Sawmill Chapter will work with Fiscal Recovery Office and Division of Community Development to ensure project is completed by the deadline of December 31, 2026.

☐ document attached

(d) Identify who will be responsible for implementing the Program or Project:

The Sawmill Chapter and Division of Community Development

☐ document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

Homeowners will be responsible for the operations and maintenance cost of the Bathroom additions

☐ document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

5.15 Drinking Water: Other Water Infrastructure

☐ document attached

Part 3. Additional documents.

List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

Supporting Resolution

Cost Estimate

☐ Chapter Resolution attached

Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

Chapter's
Preparer:

Jammie Bill
signature of Preparer/CONTACT PERSON

Approved by:

JB
signature of Chapter President (or Vice-President)

Approved by:

Jammie Bill
signature of CSC

Approved by:

Forrest J
signature of Chapter ASD

Approved to submit
for Review:

Sisa Jyym NMFRFO
signature of NCR Director

Appendix J:
Project Budget
Summary
Per
CJN-29-22

**THE NAVAJO NATION
PROJECT BUDGET SCHEDULE**

Page ____ of ____
PROJECT FORM

PART I. Business Unit No <u>New</u> Project Title <u>Bathroom Additions</u> Project Description <u>to construct (10) bathroom additions</u> <u>For Sawmill Community Members - Scattered bathroom additions</u> Check one box <input checked="" type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification																		PART II. Project Information Project Type _____ Planned Start Date _____ Planned End Date _____ Project Manager _____													
PART III. List Project Task separately, such as Plan, Design, Construct, Equip or Furnish		PART IV. Use Fiscal Year (FY) Quarters to complete the information below Q = Oct., N = Nov., D = Dec., etc.																								Expected Completion Date if project exceeds 8 FY Qtrs. _____					
		FY 2022 _____												FY 2023 _____																	
		1st Qtr.			2nd Qtr.			3rd Qtr.			4th Qtr.			1st Qtr.			2nd Qtr.			3rd Qtr.			4th Qtr.								
		Q	N	D	J	F	M	A	M	J	Jul	A	S	O	N	D	J	F	M	A	M	J	Jul	A	S	Date					
to construct (10) scattered bathroom additions. For white clay and sawmill community members		Q	N	D	J	F	M	A	M	J	Jul	A	S	O	N	D	J	F	M	A	M	J	Jul	A	S	Q	N	D	J	F	M
PART V. Expected Quarterly Expenditures		\$			\$			\$			\$			\$			\$			\$			\$			PROJECT TOTAL					
																										\$500,000.00					

FOR OMB USE ONLY: Resolution No. _____ PWS Set Up Date _____ Company No. _____ QVBS Analyst _____

Signed
Budget

Forms 1, 2 & 4

(Other budget forms if needed)

FY 2023

THE NAVAJO NATION PROGRAM BUDGET SUMMARY

Page of
BUDGET FORM 1

PART I. Business Unit No.: <u>New</u>		Program Title: <u>Sawmill Chapter Bathroom Additions</u>		Division/Branch: <u>Community Development</u>	
Prepared By: <u>Jammie Billiman</u>		Phone No.: <u>928-729-4433</u>		Email Address: <u>sawmill@navajochapters.org</u>	

PART II. FUNDING SOURCE(S)	Fiscal Year /Term	Amount	% of Total	PART III. BUDGET SUMMARY	Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference or Total
(10) Bathroom Additions <u>ALPA</u>	2022-2026	500,000.00	100%	2001 Personnel Expenses				
				3000 Travel Expenses				
				3500 Meeting Expenses				
				4000 Supplies				
				5000 Lease and Rental				
				5500 Communications and Utilities				
				6000 Repairs and Maintenance				
				6500 Contractual Services	6	0	500,000	500,000
				7000 Special Transactions				
				8000 Public Assistance				
				9000 Capital Outlay				
				9500 Matching Funds				
				9500 Indirect Cost				
				TOTAL		\$0.00	500,000 -0.00-	500,000 -0
TOTAL: \$500,000.00 100%				PART IV. POSITIONS AND VEHICLES				
						(D)	(E)	
				Total # of Positions Budgeted:				
				Total # of Vehicles Budgeted:				

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

SUBMITTED BY: <u>Sonlatsa Jim-Martin, Department Manager</u>	APPROVED BY: <u>Dr. Pearl Yellowman, Division Director</u>
Program Manager's Printed Name	Division Director / Branch Chief's Printed Name
<u>Sonlatsa Jim-Martin</u> 10/25/2022	<u>Dr. Pearl Yellowman</u> 10/28/22
Program Manager's Signature and Date	Division Director / Branch Chief's Signature and Date



Handwritten signature/initials

Handwritten signature/initials 2/8/2023

FY 2023THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIAPage of
BUDGET FORM 2

PART I. PROGRAM INFORMATION:

Business Unit No.: New

Program Name/Title:

Sawmill Chapter Bathroom Additions

PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:

PART III. PROGRAM PERFORMANCE CRITERIA:

1st QTR		2nd QTR		3rd QTR		4th QTR	
Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual

1. Goal Statement:

Bathroom Additions

Program Performance Measure/Objective:

to construct (10) scattered bathroom additions for sawmill community members

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2. Goal Statement:

Program Performance Measure/Objective:

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3. Goal Statement:

Program Performance Measure/Objective:

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4. Goal Statement:

Program Performance Measure/Objective:

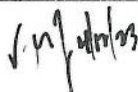
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5. Goal Statement:

Program Performance Measure/Objective:

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PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.

Sonlatsa Jim-Martin, Department Manager
Program Manager's Printed Name 10/25/2022
Program Manager's Signature and DateDr. Pearl Yellowman, Division Director
Division Director/Branch Chief's Printed Name 10-31-22
Division Director/Branch Chief's Signature and Date 2/8/2023

FY 2023

THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION

Page of
BUDGET FORM 4

PART I. PROGRAM INFORMATION:				
Program Name/Title: <u>Sawmill Chapter ARPA Bathroom Additions</u>		Business Unit No.: <u>New</u>		
PART II. DETAILED BUDGET:				
(A)	(B)	(C)	(D)	
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)	
6500 ^{KW}	Contractual Services ^{KW}		250,000 ^{KW}	
6900 ^{KW}	Subcontracted Services ^{KW}	250,000 ^{KW}		
6990 ^{KW}	Subcontracted Services ^{KW} 250,000 ^{KW}			
8000 ^{KW}	Public Assistance ^{KW}			
8500 ^{KW}	Infrastrure (non-cap) ^{KW}			
8535 ^{KW}	Bathroom Additions 250,000 ^{KW}	250,000 ^{KW}	250,000 ^{KW}	
TOTAL		500,000	500,000	

✓-gry 4/12/23

Chapter Resolutions and Other Supporting Documents

*Shirley Brown, President
Lewie Strick, Vice President
Sharon Livingston, Sec. Treasurer
Patrick McQuinn, Grants Representative
William Stewart, Council Delegate*

Sawmill Chapter

P.O. Box 1736, FUDMONT, AZ. 864
Tel: (928) 715-4433/4435 Fax: (928) 729-0473

*Shirley Brown, President
Lewie Strick, Vice President
Sharon Livingston, Sec. Treasurer
Patrick McQuinn, Grants Representative
William Stewart, Council Delegate*

RESOLUTION OF THE SAWMILL CHAPTER NAVAJO NATION

SAW-09-19-22-01

APPROVING THE REQUEST FOR FUNDING FROM THE AMERICAN RESCUE PLAN ACT (ARPA) FUNDING FOR ADDITIONAL PROJECTS IN THE AMOUNT OF \$3,800,000.00

WHEREAS:

1. The Sawmill Chapter, a recognized certified local government of the Navajo Nation, vested with the power and authority to advocate on behalf of its constituents for the improvement of health, education, safety, and general welfare; and
2. The Navajo Nation Fiscal Recovery Funding Office, Division of Community Development and the Navajo Department of Justice are responsible for reviewing and determining the eligibility for chapter projects for ARPA funding; and
3. The Sawmill Chapter has identified seven additional projects for the American Rescue Plan Act funding in the amount of \$3,800,000.00; and
4. The additional seven projects are as follows:
 - A. Chapter Renovation - \$500,000.00
 - B. Motor Grader - \$300,000.00
 - C. Cistern System - \$500,000.00
 - ✓ D. Bathroom Additions - \$500,000.00
 - E. Head Start Building - \$500,000.00
 - F. Senior Citizen Center - \$500,000.00
 - G. Street Light Project - \$1,000,000.00
5. The Sawmill Chapter requests the Navajo Nation Fiscal Recovery Fund Office, Division of Community Development, and the Navajo Department of Justice to consider the seven additional projects for the Sawmill Community.

NOW, THEREFORE BE IT RESOLVED THAT:

1. The Sawmill Chapter hereby approves and requests funding through the American Rescue Plan Act (ARPA) funding for additional seven projects in the total amount of \$3,800,000.00
2. The Sawmill Chapter has determined that the seven additional projects are construction ready and meet the requirements pursuant to BFS-31-21.

Sawmill Chapter

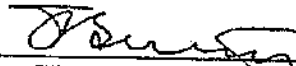
P.O. Box 1786, Ft. Defiance, AZ, 86504
Ph: (928) 729-4433/4432 /fax: (928) 729-4435

CERTIFICATION

We, hereby certify that the foregoing resolution was duly considered by the Sawmill Chapter at a duly called meeting in (Navajo Nation), Arizona at which a quorum was present and that same was passed by a vote of 9 in favor, 0 opposed and 1 abstained on this 19th day of September, 2022.

Motioned by: Larry Foster

Seconded by: Patrick Martinez



Woodie Bennett, President

ARPA – Bathroom Addition Name Listing

	Name	Location	Homesite Lease	Contact Info.	Waterline	Electric
1	[REDACTED]	White Clay				
2	[REDACTED]	White Clay	Yes	928-[REDACTED]	No	Yes
3	[REDACTED]	White Clay	No	928-[REDACTED]	No	No
4	[REDACTED]	White Clay	No			
5	[REDACTED]	White Clay	Unknown			
6	[REDACTED]	White Clay	Unknown			
7	[REDACTED]	White Clay	Unknown			
8	[REDACTED]	White Clay	Yes			
9	[REDACTED]	Sawmill	Yes	928-[REDACTED]	No	No
10	[REDACTED]	Sawmill	Yes	928-[REDACTED]	No	Yes
11	[REDACTED]	White Clay	No	928-[REDACTED]	No	Yes
12	[REDACTED]	White Clay	In process	928-[REDACTED]	No	No
13	[REDACTED]	Sawmill	In Process	928-[REDACTED]	No	Yes