

# THE NAVAJO NATION

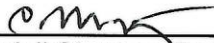
JONATHAN NEZ | PRESIDENT MYRON LIZER | VICE PRESIDENT



December 9, 2021

## TRANSMITTAL

TO : Loretta Largo, Acting Division Director  
Division of General Services

FROM :   
Cordell Shortey, Contracting Officer  
Contracts and Grants Section / OMB

SUBJECT : Cybersecurity Infrastructure - Fiscal Recovery Funds (FRF); Defunded CARES Act Project

### I. Information on Contract (per Original Award):

DIT - Cybersecurity Infrastructure	U.S. Treasury American Recovery Plan Act (ARPA)	21.019
Title of Contract	Funding Agency	CFDA No. - Federal
CJY-41-21	\$ 1,644,509.00	2022
Grant No.	Amount	Fiscal Year
		Term - Begin and End Date
		03/11/2021 to 12/31/2026

### II. Data Entered in FMIS Regarding:

☒ New Contract or Grant Company No. 8059 Business Unit (K#) K211517

☐ Contract Mod No. \_\_\_\_\_

☐ Amt of Award \_\_\_\_\_ to \_\_\_\_\_  
AMOUNT FROM TO

☐ Budget Period - Extend End Date: From \_\_\_\_\_ To \_\_\_\_\_

☐ Other, specify: \_\_\_\_\_

### Authorizing Document - Attached:

☐ Contract / Agreement - Date executed \_\_\_\_\_

☐ NNC / Committee Resolution - No. & Date \_\_\_\_\_

☒ Other, specify: NN Council Resolution CJY-41-21

### III. Comments by CGS:

Budget received on December 8, 2021 is authorized for implementation pursuant to FY 2022 NN BIM Appendix L Section II.B.

Attachment

Copy: Contract files  
Contract Accounting / OOC / DPM  
Tom Platero, Interim E.D. - NN FRF Office

Revised April 2018



Office of Management and Budget ♦ Post Office Box 646 ♦ Window Rock, AZ 86515  
(928) 871-6470 Telephone ♦ (928) 871-6567 Facsimile

# THE NAVAJO NATION

JONATHAN NEZ | PRESIDENT MYRON LIZER | VICE PRESIDENT



November 1, 2021

Navajo Division of General Services  
Ms. Loretta Largo  
P.O. Box 9000  
Window Rock, AZ 86515

**SUBJECT: APPROVAL of Navajo Nation Fiscal Recovery Funds (NNFRF) for DGS Defunded CARES Act Projects**

By resolution CJY-41-21, the Navajo Nation Council established Navajo Nation Fiscal Recovery Funds (NN FRF) and Expenditure Authorization Process for ARPA funding. Vice President Lizer and I signed the resolution into law on August 2, 2021. SECTION SIX of the resolution includes an immediate allocation of funds for defunded Navajo Nation CARES Fund Projects.

The Division of General Services – Department of Information Technology submitted a project description form for a CARES defunded project. The Department of Justice has reviewed the form and determined the project eligible. The following is a summary of their determination:

Description	Amount	Approved Expenditure Category
DGS/DIT – Cybersecurity Infrastructure	\$1,644,509	Eligible 1.12

Included with this memo is the DOJ eligibility form for DGS, Office of Management and Budget (OMB), and the Office of the Controller (OOC) records. Please submit a copy of the entire signed project description forms to include the necessary Navajo Nation BIM forms to OMB in order to establish the budgets to move forward with your projects.

Sincerely,

A handwritten signature in black ink, appearing to read "Jonathan Nez".

Jonathan Nez, President  
THE NAVAJO NATION

Attachments

cc: FRF Office  
Department of Justice  
Office of the Controller  
Office of Management and Budget



**NAVAJO NATION DEPARTMENT OF JUSTICE**  
**OFFICE OF THE ATTORNEY GENERAL**

DOREEN N. MCPAUL  
Attorney General

KIMBERLY A. DUTCHER  
Deputy Attorney General

**DEPARTMENT OF JUSTICE**  
**INITIAL ELIGIBILITY DETERMINATION**  
**FOR NAVAJO NATION FISCAL RECOVERY FUNDS**

RFS/HK Review #: HK0087-2

• Date & Time Received: 10/17/2021 21:28

Date & Time of Response: 10/21/2021 07:50

Entity Requesting FRF: Department of Information Technology

Title of Project: CARES Unexpended - Cybersecurity Infrastructure

Administrative Oversight: Division of General Services

Amount of Funding Requested: \$1,644,509

**Eligibility Determination:**

- ☒ FRF eligible  
☐ FRF ineligible  
☐ Additional information requested

**FRF Eligibility Category:**

- ☒ (1) Public Health and Economic Impact  
☐ (2) Premium Pay  
☐ (3) Government Services/Lost Revenue  
☐ (4) Water, Sewer, Broadband Infrastructure

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FY 2022

**THE NAVAJO NATION  
PROGRAM BUDGET SUMMARY  
CARES Unexpended**



Page 1 of 4  
**BUDGET FORM 1**

<b>PART I. Business Unit No.:</b> <u>NEW</u>				<b>Program Title:</b> <u>Department of Information Technology</u>		<b>Division/Branch:</b> <u>Division of General Services</u>		
<b>Prepared By:</b> <u>Royetta T. Woodie</u>				<b>Phone No.:</b> <u>928-871-6520/6010</u>		<b>Email Address:</b> <u><a href="mailto:rwoodie@navajo-nsn.gov">rwoodie@navajo-nsn.gov</a></u>		



  

PART II. FUNDING SOURCE(S)	Fiscal Year /Term	Amount	% of Total	PART III. BUDGET SUMMARY	Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference or Total
CARES Unexpended	10/01/21-09/30/22	1,644,509.00	100%	2001 Personnel Expenses				
				3000 Travel Expenses				
				3500 Meeting Expenses				
				4000 Supplies	1		215,004	215,004
				5000 Lease and Rental				
				5500 Communications and Utilities				
				6000 Repairs and Maintenance	1		186,766	186,766
				6500 Contractual Services	1		75,406	75,406
				7000 Special Transactions	1		77,082	77,082
				8000 Public Assistance				
				9000 Capital Outlay	1		1,090,251	1,090,251
				9500 Matching Funds				
				9500 Indirect Cost				
				<b>TOTAL</b>			1,644,509.00	1,644,509

<b>PART IV. POSITIONS AND VEHICLES</b>		<b>(D)</b>		<b>(E)</b>	
Total # of Positions Budgeted:					
Total # of Vehicles Budgeted:					

<b>PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.</b>			
<b>SUBMITTED BY:</b> <u>Alexander Largie, Interim DIT Director</u>		<b>APPROVED BY:</b> <u>Tom Platero, Division of General Services Director</u>	
<b>Program Manager's Printed Name</b>		<b>Division Director / Branch Chief's Printed Name</b>	
 <u>10/12/2021</u> <b>Program Manager's Signature and Date</b>		 <u>10/12/2021</u> <b>Division Director / Branch Chief's Signature and Date</b>	

FY 2022

**THE NAVAJO NATION  
PROGRAM PERFORMANCE CRITERIA**

Received

DEC 8 2021

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BUDGET FORM 2

**PART I. PROGRAM INFORMATION:**

Business Unit No.: NEW

Program Name/Title:

Department of Information Technology

**PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:** HEHSCS-17-16 1. To carry out directives of Navajo Nation-Council Resolution of an "Open Information Environment" among the governmental entities of the NN, & to oversee the use of Information Technology (IT) to achieve an open information sharing environment. 2. Implement & maintain overall strategy which provides quality & timely computer related services to programs, departments, divisions & branches of NN government regardless of funding mechanism. 3. Assume primary authority for data guardianship NN-wide IT management. 4. Ensure that suitable disaster recovery & business continuity IT strategy is developed, with ensuing technical facility, infrastructure, components & restoral testing in place. 5. Enable secure online Chapter House access to various NN governmental data & information such as automated selected financial transactions & access to the internet. 6. Promote & work towards DIT as single IT authority for NN government, in order to facilitate overall management & coordination of all IT and IT functions within NN government. 7. Develop & maintain NN IT policies to ensure holistic coordination in effective & efficient use of all IT resources. 8. Develop, review, & maintain NN IT standards to ensure compatibility, efficiency & effectiveness of data & infrastructure. 9. Provide guidance to NN government & subsequently inform branches of IT planning, policies, standards & recommendations. 10. Support, coordinate, and collaborate to ensure NN IT initiatives & projects are efficient. 11. Align common agencies' & chapters' business processes to create additional efficiency, effectiveness & economy of the NN related to IT.

**PART III. PROGRAM PERFORMANCE CRITERIA:**

1st QTR		2nd QTR		3rd QTR		4th QTR	
Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual

**1. Goal Statement:**

Procure a Network Firewall Security Solution

**Program Performance Measure/Objective:**

Implement &amp; Deploy a Network Firewall Security Solution

						1	
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**2. Goal Statement:**

Procure a Network Server Redundancy fail over for NN Business continuity.

**Program Performance Measure/Objective:**

Implement &amp; Deploy a Network Server Redundancy fail over for NN business continuity.

						1	
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**3. Goal Statement:****Program Performance Measure/Objective:**

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**4. Goal Statement:****Program Performance Measure/Objective:**

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**PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.**

Alexander Largie, Interim DIT Director  
Program Manager's Printed Name



Program Manager's Signature and Date

10/12/2021

Tom Platero, Division of General Services Director  
Division Director/Branch Chief's Printed Name



Division Director/Branch Chief's Signature and Date

10/12/2021



## THE NAVAJO NATION DETAILED BUDGET AND JUSTIFICATION

Page 3 of 4  
BUDGET FORM 4

PART I. PROGRAM INFORMATION:									
Program Name/Title:		Department of Information Technology				Business Unit No.:		NEW	
PART II. DETAILED BUDGET:									
(A)	(B)						(C)	(D)	
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)						Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)	
	<b>4000 SUPPLIES</b>							215,004	
	Procurement of a equipment, supplies & subscription licenses to operation a Network Firewall solution.								
4200	Non Capital Assets		Unit Cost	QTY	Total		31,380		
4210	Non Capital Furniture & Equipment								
	Fire Wall		\$ 31,379.86	1	\$ 31,379.86				
4410	Operating Supplies						183,624		
4420	General Operating Supplies	Fire Wall	\$ 2,660.00	1	\$ 2,660.00				
4540	Subscriptions	Fire Wall	\$ 180,963.00	1	\$ 180,963.80				
					\$ 183,623.80				
					<u>\$ 215,003.66</u>				
	<b>6000 REPAIRS &amp; MAINTENANCE</b>							188,766	
	Procure software support for a Network Firewall solution for the Navajo Nation.								
6300	Technology						188,766		
6320	Software Support								
	Fire Wall		\$ 188,765.76	1	\$ 188,765.76				
<b>TOTAL</b>							<b>401,770</b>	<b>401,770</b>	

FY 2022

THE NAVAJO NATION  
DETAILED BUDGET AND JUSTIFICATION

Page 4 of 4  
BUDGET FORM 4



PART I. PROGRAM INFORMATION:		Department of Information Technology		Business Unit No.: NEW
Program Name/Title:				
PART II. DETAILED BUDGET:				
(A)	(B)	(C)	(D)	
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)	
6520	<b>6500 CONTRACTUAL SERVICES</b> Professional services fees & expenses for DIT program initiatives - Firewall & Network Server Redundancy Installation. Consulting .6530 Fees: Estimated \$ 70,000.00 Firewall & Network Server - Installation .6540 Expenses Estimated \$ 5,408.38 \$ 75,408.38	75,408	75,408	75,408
7510	<b>7000 SPECIAL TRANSACTION</b> IT Security Awareness - Online interactive training Training & Professional Dues .7520 Training/registration fees. 6 IT Registration Fees * \$5,000/ea \$ 77,082.00	77,082	77,082	77,082
9140	<b>9000 CAPITAL OUTLAY</b> Purchases greater than \$5,000. Procurement of a Network security firewall & serv Equipment .9142 Equipment \$ 876,535.73 \$ 411,815.00 \$ 1,080,250.73 Network Server Redundancy Fail Over Network Security Firewall	1,080,251	1,080,251	1,080,251
<b>TOTAL</b>		1,242,739	1,242,739	1,242,739

**THE NAVAJO NATION  
SIHASIN, UUF, AND CARES PROJECT DESCRIPTION FORM**

- 1) This form needs to be submitted separately for each project type being proposed.
- 2) This form needs to be returned to: paulsonchaco@navajo-nsn.gov

**Part 1. Identification of parties.**

Entity Requesting Funds: Navajo Nation - Department of Information Technology

Entity Mailing Address: P.O. Box 5970 Window Rock, AZ 86515

Form Prepared by: Alex Largie Phone/email: 928.87.6001 / alexl@navajo-nsn.gov

Title & type of Project: CARES Unexpended – Cybersecurity Infrastructure

Amount of FRF Funds Being Requested: \$1,644,509.00

**Part 2. Project details.**

- (a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

DIT will procure and implement a firewall security solution & a network server redundancy fail over for Navajo Nation business Continuity for the DIT datacenter in Window Rock, AZ. Cybersecurity protection is critical globally. It is critical that DIT secures All aspects of Navajo Nation business continuity especially during this enduring time of COVID 19 and the demand for telecommuting

Is on the rise. ☐ document attached

- (b) Explain how the Project will benefit the Navajo Nation, Navajo Communities, or the Navajo People:

Firewall – further secure the NN network by inspecting and protecting data transmission; giving security teams complete visibility and control over all network using powerful traffic identification, malware prevention, and threat intelligence technologies. **Network Server Redundancy Fail Over** - Hot Fail over of current Navajo Nation Data center core functioning servers (VxRail virtual environment). Private, Hybrid and Scalable Cloud Disaster Recovery Solution. A seamless process, insulating the end user from any degradation

of service. ☐ document attached

- (c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the Program(s) or Project(s) by December 31, 2026:

An approximate prospective timeline of project completion is 1.5 years. The major challenge to incurring costs is the 164 Review process (DOJ, OPVP, OOC) sign off and contract award Expending funds challenges may be federal guidelines and projects excluded because they

do not qualify. ☐ document attached

- (d) Identify who (person within the Entity) will be responsible for implementing the Project:

Alex Largie, Interim DIT Director ☐ document attached



(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

Alex Largie, Interim DIT Director The ARPA funding once it has been expended, the Navajo Nation needs to fund these operations and maintenance costs by Fixed Cost funds. ☐ document attached

(f) State which of the (66) Fiscal Recovery Fund eligibility expenditure categories in Exhibit B the proposed Project or Program falls under, and explain the reasons why:

5.17 Broadband: Other projects - Cybersecurity Infrastructure ☐ document attached

### Part 3. Additional documents.

List here all additional supporting documents attached to this request (or indicate N/A):

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### Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this project shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

Signature of Preparer:

*Alex Largie 10/12/21*

Printed Name of Preparer Alex Largie



**NAVAJO NATION DEPARTMENT OF JUSTICE**  
**OFFICE OF THE ATTORNEY GENERAL**

DOREEN N. MCPAUL  
Attorney General

KIMBERLY A. DUTCHER  
Deputy Attorney General

**DEPARTMENT OF JUSTICE**  
**INITIAL ELIGIBILITY DETERMINATION**  
**FOR NAVAJO NATION FISCAL RECOVERY FUNDS**

**RFS/HK Review #:** HK0087-2

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**Entity Requesting FRF:** Department of Information Technology

**Title of Project:** CARES Unexpended - Cybersecurity Infrastructure

**Administrative Oversight:** Division of General Services

**Amount of Funding Requested:** \$1,644,509

**Eligibility Determination:**

- ☒ FRF eligible  
☐ FRF ineligible  
☐ Additional information requested

**FRF Eligibility Category:**

- ☒ (1) Public Health and Economic Impact  
☐ (2) Premium Pay  
☐ (3) Government Services/Lost Revenue  
☐ (4) Water, Sewer, Broadband Infrastructure

**U.S. Department of Treasury Reporting Expenditure Category: 1.12 Other Public Health Services**

**Returned for the following reasons (Ineligibility Reasons / Paragraphs 5. E. (1) - (10) of FRF Procedures):**

- |  |  |
|--|--|
| <input type="checkbox"/> Missing Form  | <input type="checkbox"/> Expenditure Plan incomplete                     |
| <input type="checkbox"/> Supporting documentation missing  | <input type="checkbox"/> Funds will not be obligated by 12/31/2024       |
| <input type="checkbox"/> Project will not be completed by 12/31/2026                                     | <input type="checkbox"/> Incorrect Signatory                             |
| <input type="checkbox"/> Ineligible purpose  | <input type="checkbox"/> Inconsistent with applicable NN or federal laws |
| <input type="checkbox"/> Submitter failed to timely submit CARES reports                                 |  |
| <input type="checkbox"/> Additional information submitted is insufficient to make a proper determination |  |

**Other Comments:** Please clarify whether this project was funded under CARES and later defunded.

**Name of DOJ Reviewer:** Mel M. Rodis, Assistant Attorney General, Tax & Finance Unit

**Signature of DOJ Reviewer:**

*MRodis*

**Disclaimers:** An NNDOJ Initial Eligibility Determination will be based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.



**U.S. Department of Treasury Reporting Expenditure Category: 1.12 Other Public Health Services**

**Returned for the following reasons (Ineligibility Reasons / Paragraphs 5. E. (1) - (10) of FRF Procedures):**

- |  |  |
|--|--|
| <input type="checkbox"/> Missing Form  | <input type="checkbox"/> Expenditure Plan incomplete                     |
| <input type="checkbox"/> Supporting documentation missing  | <input type="checkbox"/> Funds will not be obligated by 12/31/2024       |
| <input type="checkbox"/> Project will not be completed by 12/31/2026                                     | <input type="checkbox"/> Incorrect Signatory                             |
| <input type="checkbox"/> Ineligible purpose  | <input type="checkbox"/> Inconsistent with applicable NN or federal laws |
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Other Comments: Please clarify whether this project was funded under CARES and later defunded.

Name of DOJ Reviewer: Mel M. Rodis, Assistant Attorney General, Tax & Finance Unit

Signature of DOJ Reviewer: 

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